

## Report of the Chief Officer Financial Services

### Report to Executive Board

**Date: 21st November 2018**

**Subject: Capital Programme Quarter 2 Update 2018-2022**

|  |   |  |
|--|---|--|
| Are specific electoral Wards affected?<br>If relevant, name(s) of Ward(s):   | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| Are there implications for equality and diversity and cohesion and integration?  | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| Is the decision eligible for Call-In?  | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No            |
| Does the report contain confidential or exempt information?<br>If relevant, Access to Information Procedure Rule number:<br>Appendix number: | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |

### Summary of main issues

1. This report sets out the latest capital programme position for both the General Fund and the Housing Revenue Account (HRA).
2. The Council continues to deliver significant capital investment across the city which will provide improved facilities and infrastructure and supports the Leeds economy, whilst ensuring the impact on debt costs within the revenue budget continues to be managed.
3. Whilst the capital programme remains affordable in 2018-19 and will be within the increase in debt costs built into the medium term financial strategy for 2020-21, ongoing reviews will consider the continued affordability of debt costs in future years in the context of: planned expenditure and the Best Council Plan priorities; projections on interest rates; and the strength of the Council's balance sheet to fund capital spend. Scheme phasing will continue to be monitored to ensure that it is accurate and realistic.
4. There are a number of capital programme bid pressures that are currently being reviewed and will be reported in the February 2019 update of the capital programme. The cost of these additional schemes are not yet reflected in the medium term financial strategy. Annual and Major schemes continue to progress, with no significant concerns to report at this stage as set out in **Appendix B&C**.
5. The HRA programme remains affordable over the next 3 years.

## Recommendations

Executive Board is requested to:

1. Note the latest position at quarter 2 on the General Fund and HRA capital programmes;
2. Note the net increase in the General Fund and HRA capital programme 2018-2022 of £109.3m since the capital programme update in February 2018. These injections and movements are listed in Appendix D; £13.574m of schemes require injection approval as part of this report;
3. Note that the borrowing required to fund the capital programme in 2018-19 has reduced by £13.8m since the capital programme setting in February 2018. The capital programme remains affordable within the approved debt budget for 2018-19, and that further work is underway through regular capital programme reviews to ensure that future debt costs are maintained within the overall medium term financial strategy;
4. Approve the following £13.574m of injections into the capital programme as set out below and in Appendix D:
  - £3.402m affordable housing commuted sums
  - £2.550m Killingbeck Meadows flood alleviation grant
  - £2.000m Section 278 housing developments
  - £0.753m SEND special provision grant
  - £0.735m A65 Leeds Bradford airport link route grant
  - £0.669m adaptations to private sector addtl 18/19 grant
  - £0.516m Royal Park Greenspace Enhancements Section 106
  - £0.500m of additional loan funding towards the new supply of affordable housing on the 24 unit scheme being developed at Headley Chase
  - £0.490m Kirkgate market short stay car park
  - £0.300m fuel poverty fund
  - £0.300m Silk Mill Drive demolition insurance receipt
  - £0.284m capital receipts incentive scheme (CRIS) as detailed at Appendix E
  - £1.075m remaining 8 smaller schemes listed in Appendix D
5. Note that the decision to inject funding at recommendation 4 will be implemented by the Chief Officer Financial Services;
6. Authorise the expenditure of £0.500m towards new supply affordable housing on the 24 unit scheme being developed at Headley Chase;

### 1. Purpose of this report

- 1.1. The purpose of the report is to provide Members with an update on the capital programme position as at quarter 2 the end of September 2018. The report includes 7 appendices as listed at the end of the report. The report also seeks some specific

approvals in relation to funding injections per Appendix D and authority to spend for additional loan funding of £0.5m.

## **2. Background information**

- 2.1. The capital programme approved by Council in February 2018 projected expenditure of £1,129.1m from 2018-21. This included borrowing of £458.4m over the period, including £166.9m in 2018-19. The cost of financing this borrowing was included in the debt budget approved in February 2018.
- 2.2. In July 2018 the quarter 1 capital programme update was presented to Executive Board. This highlighted the 2018-22 capital programme had increased from £1,129.1m to £1,184.6m in July 2018 taking account of the outturn position and injections to the programme within that period. The forecast expenditure funded from borrowing for 2018-21 had increased from £458.4m to £481.5m.
- 2.3. Members will recall the Economic Impact assessment provided as part of the quarter 1 report which estimates the wider impact of the capital programme, that Leeds City Council capital expenditure between 2018-2021 will create a peak of 5,740 FTE jobs and generate £1,288.3m GVA in the Leeds City Region.

## **3. Capital Programme**

### **3.1. Capital Programme Latest Position 2018/22**

- 3.1.1. The 2018-22 gross capital programme currently stands at £1,238.3m, an increase of £109.3m since the February Capital Programme update to EB. Appendix D details the £109.3m of injections and movements including £13.574m of new injections as part of this report's recommendations which have been made since the quarter 1 capital programme update in July 2018.
- 3.1.2. The net injections of £13.574m result in no additional net cost to the Council as detailed below:
  - £9.958m relating externally funding schemes where grants/funding has been received since quarter 1. These schemes include £3.402m of Affordable Housing Commuted sums, £2.550m Killingbeck Meadows flood alleviation grant, £0.753m Special Educational needs and disabilities grant, £0.735 grant to fund the feasibility and design work on Leeds Bradford Airport Link Road, £0.669m additional grant and contributions for adaptations across the city, £0.517m for Royal Park Greenspace Enhancements, £0.300m of private sector contributions for fuel poverty, £0.300m of feed in tariff payments to be utilised for the demolition at Silk Mills Drive and various other smaller grants and contributions as listed at Appendix D;
  - £2.536m of funding where borrowing will be taken and no additional net cost comprising £2.000m S278 £0.284m for the capital receipts incentive scheme programme and £0.252 Sovereign Square Greenspace contribution.
  - £1.080m of schemes supported by a revenue stream. These are £0.500m additional loan funding for the new supply affordable housing at

Headley Chase (see 3.1.3), £0.490m for the Kirkgate Market short stay car park and £0.090m for the Westminster building refurbishment.

- 3.1.3. Further to the Executive Board report in February 2018 regarding the provision of loan funding towards new supply Affordable Housing, St George's Crypt have approached the Council regarding the potential to borrow an additional £0.5m towards the 24 unit scheme to be developed at Hedley Chase. The reason for the request is due to significantly higher than estimated tender prices. At present, there is an ongoing value engineering exercise to explore opportunities to reduce the further ask as much as practically possible. The provision of the additional finance £0.5m, which Executive Board are asked to approve within this report will allow the scheme to be completed within the scheduled timeline of autumn 2019. Additional security has been secured with no further material impact on the risk assessment of the viability of the scheme.
- 3.1.4. The General Fund capital programme currently stands at £909.4m for this period. It provides for investment in improved facilities and infrastructure and also has an impact on the Leeds economy through supporting jobs and income and business generated throughout the city. The HRA capital programme currently provides for capital investment of £328.9m for the 3 years 2018-19 through to 2021-22.
- 3.1.5. The 2018-22 programme includes an increase in resources of £53.7m mainly made up of increased borrowing £63.1m and a net decrease in grants of £9.4m since the quarter 1 update to July Executive Board 2018 set out in table 1 below.

**Table 1 - Capital Resources Position 2018-22**

|                                 | 2018-22                    |                           |                            |
|---------------------------------|----------------------------|---------------------------|----------------------------|
|                                 | Quarter 1<br>July EB<br>£m | Latest<br>Quarter 2<br>£m | Variance Q1<br>to Q2<br>£m |
| Forecast Expenditure            | <b>1,184.6</b>             | <b>1,238.3</b>            | <b>53.7</b>                |
| <b>Funded By;</b>               |                            |                           |                            |
| Borrowing                       | 481.5                      | 544.6                     | <b>63.1</b>                |
| Government Grants               | 392.4                      | 388.7                     | <b>(3.7)</b>               |
| HRA Self Financing              | 228.1                      | 228.1                     | <b>0.0</b>                 |
| Other Grants & Contributions    | 43.5                       | 37.8                      | <b>(5.7)</b>               |
| HRA Right to Buy Receipts       | 39.1                       | 39.1                      | <b>0.0</b>                 |
| <b>Total Forecast Resources</b> | <b>1,184.6</b>             | <b>1,238.3</b>            | <b>53.7</b>                |

- 3.1.6. A review of the Leeds funded element of the capital programme took place after outturn and recognised that capital spend reflects a realistic priority based programme that contributes to the delivery of the Best Council Plan. Work continues to address capacity to deliver the current programme. The revised programme remains affordable in 2018/19 and within the increase in debt costs for 2019/20 that is included within the medium term financial strategy.

### 3.1.7. Capital Programme 2018-19

3.1.8. The latest capital programme resources position for General Fund and HRA in 2018-19 is shown in Table 2 below.

**Table 2 - Capital Resources Position 2018/19**

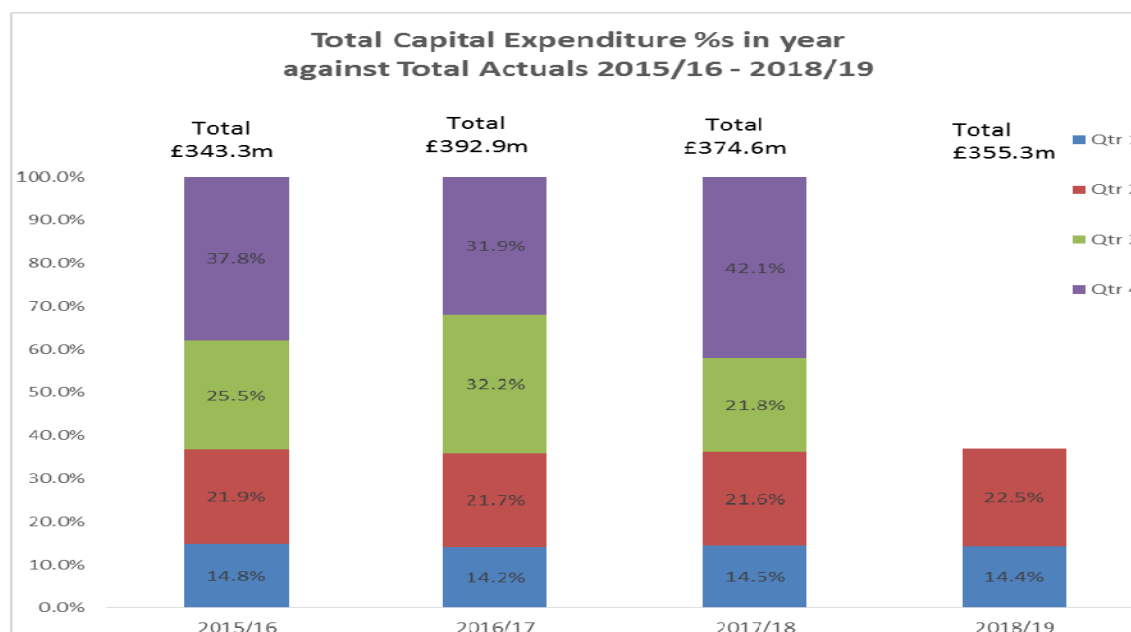
|                                 | 2018/19                                     |   |                           |                             |
|---------------------------------|---|---|---------------------------|-----------------------------|
|                                 | February 2018<br>Capital<br>Programme<br>£m | Restated<br>Capital<br>Programme Q1<br>£m | Latest Quarter<br>2<br>£m | Variance Feb<br>to Q2<br>£m |
| Forecast Expenditure            | <b>406.2</b>                                | <b>376.0</b>                              | <b>355.3</b>              | <b>(50.9)</b>               |
| <b>Funded By;</b>               |   |   |                           |                             |
| Borrowing                       | 166.9                                       | 169.4                                     | 153.1                     | <b>(13.8)</b>               |
| Government Grants               | 136.1                                       | 109.9                                     | 105.2                     | <b>(30.9)</b>               |
| HRA Self Financing              | 71.8  | 64.4                                      | 63.8                      | <b>(8.0)</b>                |
| Other Grants & Contributions    | 17.6  | 21.5                                      | 21.1                      | <b>3.5</b>                  |
| HRA Right to Buy Receipts       | 13.8  | 10.8                                      | 12.1                      | <b>(1.7)</b>                |
| <b>Total Forecast Resources</b> | <b>406.2</b>                                | <b>376.0</b>                              | <b>355.3</b>              | <b>(50.9)</b>               |

3.1.9. Table 2 details current projected resources in 2018-19 of £355.3m, a decrease of £50.9m since the February capital programme update to EB. The LCC borrowing figure for 2018/19 has decreased by £13.8m.

3.1.10. The borrowing figure of £153.1m per table 2 includes £45.0m of schemes where a revenue provision is set aside either from schemes with supported income streams or schemes which result in overall revenue savings (spend to save schemes). Annual programmes account for £61.7m LCC resources as set out in Appendix B (supported by £8.2m of grant and external contributions) and other major programmes have LCC borrowing provision of £46.4m.

3.1.11. Spend to Q2 is in line with previous years but is still relatively low at £131.1m or 37% of the projection to the year end of £355.3m as shown in Graph 1.

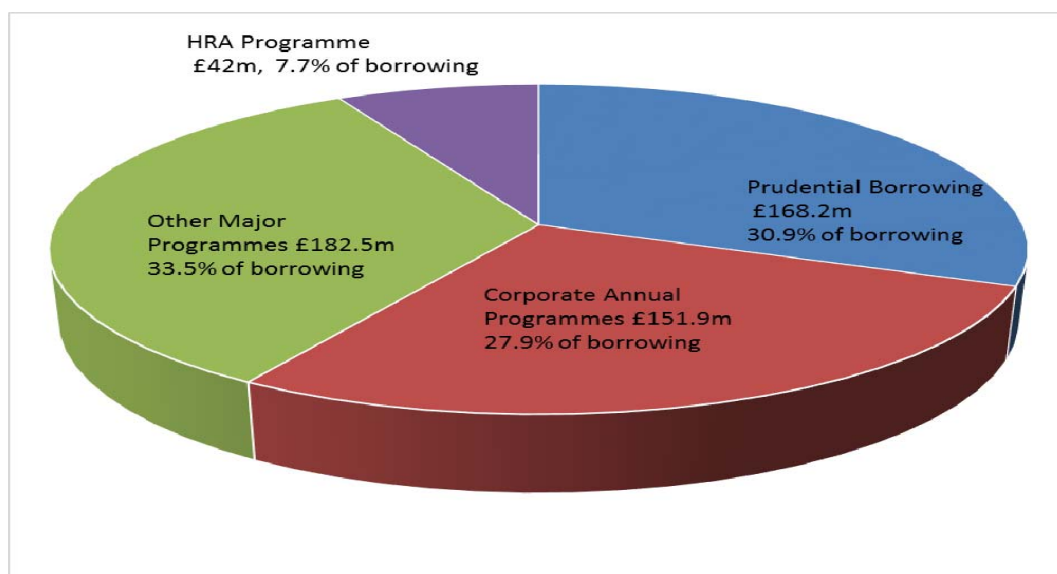
**Graph 1: Percentage spend rates per Quarter**



### 3.1.12. Capital Programme Affordability

- 3.1.13. The capital programme remains affordable and details are contained within the Treasury Management report elsewhere on the agenda. The level of spend in 2018-19 onwards has been adjusted to reflect a more realistic profile and lower projections on interest costs. The debt cost of the revised capital programme remains within the 2018/19 budget and is expected to be contained within the £32.5m increase in 2020/21 as reflected in the medium term financial strategy. This projection will continue to be adjusted for the level of capital spend, interest rate assumptions and strength of the Council's balance sheet. This approach will continue to inform the Council's medium term financial strategy before setting the debt budget requirement in the following years.
- 3.1.14. Overall the level of borrowing required to fund the full 2018-22 capital programme is £544.6m. Of this, £362.1m or 66.5% relates to capital expenditure that is funded by additional income or generates revenue savings or ensures that our assets are maintained to an acceptable standard. The remaining £182.5m or 33.5% supports the Best Council Plan objectives. The split of LCC borrowing for the full programme is shown in the pie chart below.

Pie Chart 1 – LCC Borrowing Split 2018-2022 £544.6m



- 3.1.15. Whilst the programme remains affordable there are a number of pressures building seeking further capital investment. Work is currently underway to implement a revised approach to how the capital programme is set from 2019/20 onwards. Capital scheme bids have been sought from Directorates to enable closer links between the cost of setting the capital programme and affordability in the revenue budget as part of the February budget setting process.
- 3.1.16. The process is looking to ensure that the capital programme is set annually with a review at the half year point. There will however remain provision to inject schemes of an essential Health & Safety nature, spend to save schemes or fully externally funded schemes that will not affect the revenue assumptions. This approach will enable capital resources to be targeted to priorities and enable resources to be put

in place with clarity and at an earlier stage. The cost of these additional schemes are not yet factored into the medium term financial strategy.

### **3.2. Major Programmes and Other Directorate schemes**

- 3.2.1 The major programmes and other directorate schemes continue to make significant progress as set out in the narrative in Appendix F. Ongoing reviews and more efficient monitoring of the full programme has allowed us to better cashflow the programme and predict more accurate borrowing levels earlier in the process. Major scheme programme boards continue to address issues when they arise and ensure the governance and effective delivery of these programmes. The major programmes and other smaller schemes within objectives are summarised at Appendix C and are listed under the Best Council Plan objectives and priorities for delivery of the capital programme.
- 3.2.2 Members are asked to note that this report reflects other items with capital implications elsewhere on this agenda. These include Temple Works, Council Housing Growth – Delivery of New build Social Housing, Investment in affordable Housing, Royal Park Greenspace and the successful Channel 4 HQ bid.

### **3.3. Capital Receipts Incentive Scheme**

- 3.3.1 The establishment of a Capital Receipts Incentive Scheme (CRIS) was approved by Executive Board in October 2011. The key feature of the scheme is that 20% of each net receipt generated will be retained locally for re-investment, subject to a maximum per receipt of £100k, with 15% retained by the respective Ward and 5% pooled across the Council and distributed to Wards on the basis of need via community committees.
- 3.3.2 Some receipts are excluded from the scheme and these are largely receipts that are that are already assumed to fund the Council's budget or are earmarked in some other way to previous or future spend. **Appendix E** details the additional CRIS allocations to wards and community committees for the period April 2018 to end of September 2018 and identifies the total balance of resources available for use. Executive Board is requested to approve the injection of £283.8k into the Capital Programme.

## **4 Corporate Considerations**

### **4.1 Consultation and Engagement**

- 4.1.1 Consultation on the Council's budget proposals, including the capital programme, took place prior to the finalisation and approval of the budget in February 2018. This report gives an update on progress at quarter 2 within the approved capital programme. This report also seeks to inject funding for specific proposals for which the necessary consultations will take place when schemes are implemented by services. Additional to this Executive Board are asked to approve an additional £0.5m loan funding towards the new supply of affordable housing.

## **4.2 Equality and Diversity / Cohesion and Integration**

- 4.2.1 The capital programme sets out a plan of capital expenditure over future years and further spending decisions are taken in accordance with capital approval processes, as projects are developed. This is when more detailed information will be available as to where in the city capital spending will be incurred and the impact on services, buildings and people. Service Directorates will include equality considerations as part of the rationale in determining specific projects from capital budgets.

## **4.3 Council policies and Best Council Plan**

- 4.3.1 Capital objectives are set out as part of the February 2018 budget process and the capital programme approved in February 2018 is structured to show schemes under these objectives. The schemes have been drawn up in conjunction with the 2018-19 budget and seek to ensure that the Council's financial resources are directed towards its policies and priorities and, that these policies and priorities as part of the Best Council Plan are affordable.

## **4.4 Resources and value for money**

- 4.4.1 The resource implications of this report are detailed in section 3 above. For the capital programme to be sustainable, the Chief Officer - Financial Services must be satisfied that the cost of borrowing currently included in the capital programme can be contained within the approved revenue debt budget. The updated capital programme funding statement set out in Appendix A shows that the total cost of borrowing for 2018-19 is £153.1m.
- 4.4.2 For HRA, the position set out in Appendix A and current monitoring procedures shows that expenditure can be managed within available resources for 2018-19.
- 4.4.3 Members are asked to note that the HRA borrowing cap was abolished on the 29<sup>th</sup> October 2018 which will now enable the Council to undertake borrowing to fund housing developments.
- 4.4.4 In order to ensure that schemes meet Council priorities and are value for money, the Chief Officer - Financial Services has processes in place to ensure:
- the introduction of new schemes into the capital programme will only take place after completion and approval of a full business case and identification of the required resources;
  - promotion of best practice in capital planning and estimating to ensure that scheme estimates and programmes are realistic;
  - the use of departmental unsupported borrowing for spend to save schemes is based on individual business cases and in the context of identifying the revenue resources to meet the borrowing costs.

## **4.5 Legal Implications, Access to Information and Call In**

- 4.5.1 The recommendations in this report are available for call in and there are no other legal implications arising from this report.



## **4.6 Risk Management**

4.6.1 One of the main risks in managing the capital programme is that insufficient resources are available to fund the programme. As the capital programme is fully funded this risk lies within the treasury management of the debt budget. Monthly monitoring procedures are in place for expenditure, resources and capital receipts and debt monitoring to ensure that this risk can be managed effectively.

4.6.2 In addition, the following measures are in place:

- ensuring written confirmation of external funding is received prior to contractual commitments being entered into
- provision of a contingency within the capital programme to deal with unforeseen circumstances
- compliance with both financial regulations and contract procedure rules to ensure the Council's position is protected.
- ensuring all major programmes are supported by programme boards

4.6.3 The aspiration remains to limit the increase in the level of debt but there are a number of pressures and development schemes that bring economic and wider benefits that are pushing against this aspiration. The Council will look to manage these pressures and limit the impact on debt costs by ensuring where possible that new schemes are fully funded (either by external resources or departmental prudential borrowing), or are essential (under health and safety grounds or in order to ensure Council assets are maintained for continued service provision).

## **5 Conclusions**

5.1 The Council continues to deliver significant capital investment across the city which will provide improved facilities and infrastructure and also support the Leeds economy, whilst ensuring the impact on debt costs within the revenue budget is managed.

5.2 The report concludes that the capital programme shows an increase in the overall resources required to fund the 2018-2022 capital programme of £109.3m since the February Capital programme setting, £53.7m since the July quarter 1 capital programme update. These injections and movements are listed in Appendix D; £13.574m of schemes require approval as part of this report;

5.3 A further review of current scheme profiles and capacity to deliver the capital programme is ongoing and will be reported back to Members in the 2019-2022 capital programme update in February 2019.

5.4 For HRA, forecast expenditure can be contained within available resources in 2018/19 and with the announcement on 29<sup>th</sup> October that the HRA borrowing cap has been abolished the council can now undertake additional borrowing to fund new housing developments in future years.

## **6 Recommendations**

Executive Board is requested to:

- 6.1 Note the latest position at quarter 2 on the General Fund and HRA Capital programmes;
- 6.2 Note the net increase in the General Fund and HRA capital programme 2018-2022 of £109.3m since the capital programme update in February 2018. These injections and movements are listed in Appendix D; £13.574m of schemes require injection approval as part of this report;
- 6.3 Note that the borrowing required to fund the capital programme in 2018-19 has reduced by £13.8m since the capital programme setting in February 2018. The capital programme remains affordable within the approved debt budget for 2018-19, further work is underway through regular capital programme reviews to ensure that future debt costs are maintained within the overall medium term financial strategy ;
- 6.4 Approve the following £13.574m of injections into the capital programme as set out below and in Appendix D:
  - £3.402m affordable Housing commuted sums
  - £2.550m Killingbeck Meadows flood alleviation grant
  - £2.000m Section 278 housing developments
  - £0.753m SEND special provision grant
  - £0.735m A65 Leeds Bradford airport link route grant
  - £0.669m adaptations to private sector additional 18/19 grant
  - £0.516m Royal Park Greenspace Enhancements Section 106
  - £0.500m of additional loan funding towards the new supply of affordable housing on the 24 unit scheme being developed at Headley Chase
  - £0.490m Kirkgate Market short stay car park
  - £0.300m fuel poverty fund
  - £0.300m Silk Mill Drive demolition Insurance receipt
  - £0.284m capital receipts incentive scheme (CRIS) as detailed at Appendix E
  - £1.075m remaining 8 smaller schemes listed in Appendix D.
- 6.5 Note that the decision to inject funding at recommendation 4 will be implemented by the Chief Officer - Financial Services ;
- 6.6 Authorise the expenditure of £0.500m towards new supply affordable housing on the 24 unit scheme being developed at Headley Chase.

## **Appendices**

A – Capital Programme Funding Statement 2018-2022

B – Annual Programmes

C – Major Programmes and Other Schemes

D – Net increase in funding since February 2018 to end of September 2018

E – Capital Receipts Incentive Scheme (CRIS) Injections and total balance available

F – Progress on major schemes within each objective 2018–2022

G – Equality, Diversity, Cohesion and Integration Screening Document

Background documents<sup>1</sup> None

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<sup>1</sup> The background documents listed in this section are available to download from the Council's website,

# Capital Programme Funding Statement 2018-2022

# Appendix A

|  | 2018/19<br>£000 | 2019/20<br>£000 | 2020/21<br>£000 | 2021/22<br>£000 | Total<br>£000    |
|--|-----------------|-----------------|-----------------|-----------------|------------------|
| <b>EXPENDITURE</b>                               |                 |                 |                 |                 |                  |
| <b>GENERAL FUND (GF)</b>                         |                 |                 |                 |                 |                  |
| IMPROVING OUR ASSETS                             | 105,254         | 104,052         | 58,967          | 33,030          | 301,304          |
| INVESTING IN MAJOR INFRASTRUCTURE                | 49,027          | 140,164         | 118,484         | 9,080           | 316,754          |
| SUPPORTING SERVICE PROVISION                     | 64,396          | 60,385          | 37,399          | 1,097           | 163,276          |
| INVESTING IN NEW TECHNOLOGY                      | 10,718          | 11,171          | 9,782           | 1,275           | 32,946           |
| SUPPORTING THE LEEDS ECONOMY                     | 26,218          | 15,441          | 4,326           | 3,159           | 49,143           |
| CENTRAL & OPERATIONAL EXPENDITURE                | 17,031          | 15,227          | 5,412           | 8,326           | 45,996           |
| <b>TOTAL ESTIMATED SPEND ON GF</b>               | <b>272,644</b>  | <b>346,439</b>  | <b>234,370</b>  | <b>55,967</b>   | <b>909,420</b>   |
| <b>HOUSING REVENUE ACCOUNT (HRA)</b>             |                 |                 |                 |                 |                  |
| IMPROVING OUR ASSETS - COUNCIL HOUSING           | 82,681          | 111,485         | 107,384         | 27,357          | 328,907          |
| <b>TOTAL ESTIMATED SPEND ON HRA</b>              | <b>82,681</b>   | <b>111,485</b>  | <b>107,384</b>  | <b>27,357</b>   | <b>328,907</b>   |
| <b>TOTAL ESTIMATED SPEND</b>                     | <b>355,325</b>  | <b>457,924</b>  | <b>341,753</b>  | <b>83,324</b>   | <b>1,238,326</b> |
| <b>RESOURCES</b>                                 |                 |                 |                 |                 |                  |
| <b>GENERAL FUND (GF)</b>                         |                 |                 |                 |                 |                  |
| <b>Specific Resources</b>                        |                 |                 |                 |                 |                  |
| GOVERNMENT GRANTS                                | 104,762         | 144,849         | 110,379         | 20,002          | 379,991          |
| OTHER GRANTS & CONTRIBUTIONS                     | 16,738          | 6,178           | 1,618           | 2,283           | 26,816           |
| <b>Corporate Resources</b>                       |                 |                 |                 |                 |                  |
| BORROWING - Corporate                            | 108,096         | 126,833         | 85,436          | 14,017          | 334,382          |
| BORROWING - Departmental Prudential borrowing    | 43,048          | 68,579          | 36,937          | 19,666          | 168,230          |
| <b>CAP. RESOURCES REQD FOR GF</b>                | <b>272,644</b>  | <b>346,439</b>  | <b>234,370</b>  | <b>55,967</b>   | <b>909,420</b>   |
| <b>HOUSING REVENUE ACCOUNT (HRA)</b>             |                 |                 |                 |                 |                  |
| <b>Specific Resources</b>                        |                 |                 |                 |                 |                  |
| HRA SELF FINANCING                               | 63,774          | 72,827          | 76,220          | 15,322          | 228,144          |
| R.T.B. CAPITAL RECEIPTS                          | 12,069          | 17,787          | 9,212           | 0               | 39,068           |
| GOVERNMENT GRANTS                                | 458             | 2,931           | 5,355           | 0               | 8,744            |
| OTHER CONTRIBUTIONS                              | 4,434           | 6,468           | 15              | 35              | 10,951           |
| BORROWING - Departmental                         | 1,946           | 11,472          | 16,582          | 12,000          | 42,000           |
| <b>CAP. RESOURCES REQD FOR HRA</b>               | <b>82,681</b>   | <b>111,485</b>  | <b>107,384</b>  | <b>27,357</b>   | <b>328,907</b>   |
| <b>TOTAL CAP. RESOURCES REQD</b>                 | <b>355,325</b>  | <b>457,924</b>  | <b>341,753</b>  | <b>83,324</b>   | <b>1,238,326</b> |
| <b>BORROWING REQUIRED TO FUND THIS PROGRAMME</b> |                 |                 |                 |                 |                  |
| BORROWING REQUIRED TO FUND THIS PROGRAMME        | 153,090         | 206,885         | 138,955         | 45,683          | 544,612          |
| Average Interest rate (subject to change)        | 0.85%           | 1.25%           | 1.50%           | 1.75%           |                  |

unless they contain confidential or exempt information. The list of background documents does not include published works.

## Appendix B

### Annual Programmes

| Best Council Plan Objectives for the Capital Programme                   | 2018/19<br>£000 | 2019/20<br>£000 | 2020/21<br>£000 | 2021/22<br>£000 | Total            |
|--|-----------------|-----------------|-----------------|-----------------|------------------|
| <b>Improving Our Assets</b>  |                 |                 |                 |                 |                  |
| Highways Maintenance   | 12,000          | 13,000          | 13,000          | 0               | 38,000           |
| Highways Maintenance Capitalisations                                     | 4,600           | 4,600           | 2,200           | 0               | 11,400           |
| Highways Maintenance <b>Note 1</b>                                       | 1,919           | 0               | 0               | 0               | 1,919            |
| Schools Capital expenditure  | 3,500           | 3,500           | 3,500           | 50              | 10,551           |
| Heritage Assets  | 2,330           | 3,000           | 2,000           | 0               | 7,330            |
| Corporate Property Maintenance   | 6,000           | 0               | 0               | 0               | 6,000            |
| General Refurbishment Schools  | 575             | 1,287           | 1,000           | 0               | 2,862            |
| Demolition   | 1,300           | 1,000           | 1,000           | 0               | 3,300            |
| Fire Risk Assessments - Remedial Works                                   | 1,873           | 1,400           | 0               | 0               | 3,273            |
| Library Books  | 700             | 700             | 700             | 0               | 2,100            |
| Sports Maintenance   | 895             | 600             | 500             | 0               | 1,995            |
| Sports Maintenance <b>Note 1</b>   | 500             | 0               | 0               | 0               | 500              |
| Traffic Management Programme   | 139             | 200             | 200             | 0               | 539              |
| Traffic Management Programme <b>Note 1</b>                               | 231             | 0               | 0               | 0               | 231              |
| Civic Hall Backlog Maintenance   | 499             | 250             | 0               | 0               | 749              |
| Improving our assets slippage adjustments                                | 1,807           | 700             | 0               | 0               | 2,507            |
|  | 38,868          | 30,237          | 24,100          | 50              | 93,255           |
| <b>Supporting Service Provision</b>                                      |                 |                 |                 |                 |                  |
| Adaptations - DFG LCC Funding  | 2,869           | 2,869           | 2,869           | 0               | 8,607            |
| Adaptations - Grant & External <b>Note 1</b>                             | 5,318           | 4,649           | 4,649           | 0               | 14,616           |
| Telecare (ASC)   | 400             | 400             | 0               | 0               | 800              |
| Adaptation to Private Homes outside of scope                             | 400             | 400             | 400             | 0               | 1,200            |
| Supporting Service provision slippage adjustments                        | 178             | 0               | 149             | 0               | 326              |
|  | 9,164           | 8,318           | 8,067           | 0               | 25,549           |
| <b>Investing In New Technology</b>                                       |                 |                 |                 |                 |                  |
| Essential Services Programme   | 2,850           | 2,850           | 2,850           | 0               | 8,550            |
| Essential Services Programme Staffing                                    | 1,250           | 1,250           | 1,250           | 0               | 3,750            |
| Investing in New Technology slippage adjustments                         | 2,097           | 120             | 0               | 0               | 2,217            |
|  | 6,197           | 4,220           | 4,100           | 0               | 14,517           |
| <b>Supporting The Leeds Economy</b>                                      |                 |                 |                 |                 |                  |
| Project Support Fund - Groundwork  | 70              | 70              | 70              | 0               | 210              |
| Supporting the Leeds Economy adjustments                                 | 0               | 0               | 0               | 0               | 0                |
|  | 70              | 70              | 70              | 0               | 210              |
| <b>Central &amp; Operational Expenditure</b>                             |                 |                 |                 |                 |                  |
| General Capitalisation   | 4,000           | 4,000           | 4,000           | 0               | 12,000           |
| General Capitalisation reconciling to the medium term financial strategy | 1,000           | 1,000           |                 | 0               | 2,000            |
| Vehicle Programme  | 9,683           | 8,822           | 0               | 0               | 18,505           |
| Capital Programme Management   | 600             | 600             | 600             | 0               | 1,800            |
| Capitalisation Interest  | 333             | 500             | 500             | 0               | 1,333            |
| Central and Operational adjustments                                      | 0               | 0               | 12              | 233             | 245              |
|  | 15,616          | 14,922          | 5,112           | 233             | 35,883           |
| <b>Total Annual Programmes</b>   | <b>69,915</b>   | <b>57,767</b>   | <b>41,449</b>   | <b>283</b>      | <b>169,414.2</b> |

**Note 1** - These lines are made up of additional grant funding £16,184.3k and estimated external income of £1,080.8  
The net LCC element for 2018/19 is £61,708.5k per 3.1.10 within the main report.

## Major Programmes and Other Schemes

## Appendix C

| Major Programmes & Other Directorate schemes              | 2018/19<br>£000         | 2019/20<br>£000         | 2020/21<br>£000         | 2021/22<br>£000               | Total<br>£000         |
|---|-------------------------|-------------------------|-------------------------|-------------------------------|-----------------------|
| <b>Improving our assets - Council Housing</b>             |                         |                         |                         |                               |                       |
| HRA Housing Leeds Refurbishment & BITMO                   | 71,783                  | 81,735                  | 81,735                  | 27,357                        | 262,610               |
| HRA Council Housing Growth                                | 10,898                  | 29,750                  | 25,649                  | 0                             | 66,297                |
| <b>Improving our assets</b>                               | 0                       | 0                       | 0                       | 0                             |                       |
| Childrens - Cap Maint & Devolved Formula Capital          | 9,676                   | 9,317                   | 8,182                   | 14,888                        | 42,062                |
| Streetlighting Replacement LEDs                           | 0                       | 7,880                   | 8,250                   | 14,310                        | 30,440                |
| Strategic Investment Fund Acquisitions                    | 10,100                  | 10,727                  | 0                       | 0                             | 20,826                |
| Bridges and Structures                                    | 6,804                   | 8,027                   | 3,264                   | 0                             | 18,095                |
| Highways Maintenance LTP                                  | 5,072                   | 5,000                   | 5,000                   | 321                           | 15,394                |
| Changing the Workplace                                    | 3,185                   | 8,796                   | 0                       | 0                             | 11,981                |
| Section 278 and Section 106 schemes                       | 3,351                   | 4,429                   | 3,066                   | 2,750                         | 13,596                |
| Highways Network/Junction Improvements                    | 7,348                   | 3,161                   | 0                       | 0                             | 10,508                |
| Challenge Fund Bids & SCOOT Traffic Control A65           | 1,000                   | 5,160                   | 4,000                   | 0                             | 10,160                |
| Highways Transport package                                | 2,652                   | 2,053                   | 2,000                   | 311                           | 7,016                 |
| Whinmoor Nursery  | 4,407                   | 754                     | 0                       | 0                             | 5,161                 |
| Albion House Purchase & Red Hall demolition               | 2,637                   | 1,500                   | 0                       | 0                             | 4,137                 |
| Community Hubs  | 3,906                   | 0                       | 0                       | 0                             | 3,906                 |
| Conversion of RCV vehicles to Gas                         | 0                       | 2,584                   | 0                       | 0                             | 2,584                 |
| Highways Adoption of 32 roads                             | 1,437                   | 500                     | 500                     | 0                             | 2,437                 |
| City Centre Vehicle Access Restrictions                   | 1,271                   | 565                     | 0                       | 0                             | 1,836                 |
| Aire Valley (Temple Green ) Park and Ride                 | 150                     | 1,185                   | 0                       | 0                             | 1,335                 |
| Other schemes within the objective                        | 3,392                   | 2,178                   | 606                     | 400                           | 6,576                 |
|   | <b>149,067</b>          | <b>185,301</b>          | <b>142,251</b>          | <b>60,337</b>                 | <b>536,955</b>        |
| <b>Investing in Major Infrastructure</b>                  |                         |                         |                         |                               |                       |
| East Leeds Orbital Road                                   | 13,467                  | 58,082                  | 48,181                  | 8,722                         | 128,451               |
| Leeds Public Transport Investment Programme               | 5,060                   | 45,860                  | 55,883                  | 0                             | 106,803               |
| Clean Air Zone  | 2,041                   | 14,680                  | 10,000                  | 0                             | 26,721                |
| Flood Alleviation Schemes                                 | 7,393                   | 11,361                  | 4,000                   | 0                             | 22,753                |
| District Heating Network                                  | 13,260                  | 6,496                   | 100                     | 358                           | 20,214                |
| Cycle City Ambition                                       | 7,246                   | 3,000                   | 0                       | 0                             | 10,246                |
| Other schemes within the objective                        | 559                     | 685                     | 321                     | 0                             | 1,565                 |
|   | <b>49,027</b>           | <b>140,164</b>          | <b>118,484</b>          | <b>9,080</b>                  | <b>316,754</b>        |
| <b>Supporting Service Provision</b>                       |                         |                         |                         |                               |                       |
| Childrens Learning Places - (Basic Need Grant)            | 42,317                  | 39,724                  | 7,068                   | 0                             | 89,109                |
| Social Care and Health Fund                               | 2,898                   | 3,150                   | 18,189                  | 288                           | 24,524                |
| Private sector Renewal - Equity Loans                     | 1,465                   | 2,638                   | 2,358                   | 733                           | 7,193                 |
| Childrens - SEMH (Social Emotional Mental Health)         | 5,143                   | 233                     | 3                       | 0                             | 5,378                 |
| Waste Depot and residual Kirkstall HWSS                   | 100                     | 3,810                   | 0                       | 0                             | 3,910                 |
| Adults & Health Various Schemes                           | 564                     | 471                     | 0                       | 0                             | 1,035                 |
| Other schemes within the objective                        | 2,744                   | 2,042                   | 1,715                   | 76                            | 6,577                 |
|   | <b>55,231</b>           | <b>52,067</b>           | <b>29,332</b>           | <b>1,097</b>                  | <b>137,727</b>        |
| <b>Investing in New Technology</b>                        |                         |                         |                         |                               |                       |
| Digital Information Service - App refresh & Full Fibre    | 1,791                   | 5,609                   | 5,000                   | 1,200                         | 13,600                |
| Customer Access Phase 1 & 2                               | 1,071                   | 700                     | 547                     | 0                             | 2,318                 |
| ASC Care Act Implementation Systems work                  | 912                     | 416                     | 0                       | 0                             | 1,328                 |
| Other schemes within the objective                        | 748                     | 226                     | 135                     | 75                            | 1,184                 |
|   | <b>4,522</b>            | <b>6,951</b>            | <b>5,682</b>            | <b>1,275</b>                  | <b>18,430</b>         |
| <b>Supporting the Leeds Economy</b>                       |                         |                         |                         |                               |                       |
| West Yorkshire Playhouse                                  | 9,073                   | 4,880                   | 890                     | 0                             | 14,843                |
| Local Centres Programme & Townscape Heritage Initiative   | 3,684                   | 3,158                   | 1,166                   | 1,574                         | 9,581                 |
| Public Realm  | 2,671                   | 1,355                   | 360                     | 0                             | 4,386                 |
| Kirkgate Market Strategy                                  | 2,047                   | 1,450                   | 40                      | 0                             | 3,537                 |
| Ward Based, Committee Welbeing & CRIS                     | 209                     | 617                     | 617                     | 618                           | 2,060                 |
| City Region Revolving Infrastructure Fund                 | 1,200                   | 661                     | 0                       | 0                             | 1,861                 |
| Holbeck Group Repair                                      | 1,113                   | 0                       | 665                     | 0                             | 1,778                 |
| Southbank Regeneration (HUV)                              | 906                     | 550                     | 0                       | 0                             | 1,456                 |
| Digital Business Incubators (LCC Tech Hub)                | 526                     | 500                     | 0                       | 0                             | 1,026                 |
| Aire Valley Enterprise Zone                               | 529                     | 350                     | 0                       | 0                             | 879                   |
| Temple Works  | 350                     | 400                     | 0                       | 0                             | 750                   |
| Other schemes within the objective                        | 3,842                   | 1,451                   | 517                     | 968                           | 6,778                 |
|   | <b>26,148</b>           | <b>15,371</b>           | <b>4,256</b>            | <b>3,159</b>                  | <b>48,933</b>         |
| <b>Central &amp; Operational Expenditure</b>              |                         |                         |                         |                               |                       |
| Contingency General Capital Programme                     | 51                      | 305                     | 300                     | 7,093                         | 7,749                 |
| Transformational Change                                   | 1,365                   | 0                       | 0                       | 0                             | 1,365                 |
| Contingency Special Emergencies                           | 0                       | 0                       | 0                       | 1,000                         | 1,000                 |
|   | <b>1,415</b>            | <b>305</b>              | <b>300</b>              | <b>8,093</b>                  | <b>10,113</b>         |
| <b>Total Major Programmes &amp; Other smaller schemes</b> | <b>285,410</b>          | <b>400,157</b>          | <b>300,305</b>          | <b>83,041</b>                 | <b>1,068,912</b>      |
| <b>Annual Programmes (See Appendix B)</b>                 | <b>69,915</b>           | <b>57,767</b>           | <b>41,449</b>           | <b>283</b>                    | <b>169,414</b>        |
| <b>Total Capital Programme</b>                            | <b>355,325</b>          | <b>457,924</b>          | <b>341,753</b>          | <b>83,324</b>                 | <b>1,238,326</b>      |
| <b>LCC Borrowing</b>                                      | <b>2017/18<br/>£000</b> | <b>2018/19<br/>£000</b> | <b>2019/20<br/>£000</b> | <b>2020 &amp;<br/>On £000</b> | <b>Total<br/>£000</b> |
| Corporate Borrowing                                       | 108,096                 | 126,833                 | 85,436                  | 14,017                        | 334,382               |
| Departmental Borrowing                                    | 43,048                  | 68,579                  | 36,937                  | 19,666                        | 168,230               |
| HRA Borrowing   | 1,946                   | 11,472                  | 16,582                  | 12,000                        | 42,000                |
| <b>TOTAL Borrowing</b>                                    | <b>153,090.1</b>        | <b>206,884.8</b>        | <b>138,954.6</b>        | <b>45,682.5</b>               | <b>544,612</b>        |
| LCC resources in system                                   | 153,090                 | 206,885                 | 138,955                 | 45,683                        | 544,612               |
| Capital Programme   | 355,325                 | 457,924                 | 341,753                 | 83,324                        | 1,238,326             |
| <b>% LCC resources 2018-2022</b>                          | <b>43.1%</b>            | <b>45.2%</b>            | <b>40.7%</b>            | <b>54.8%</b>                  | <b>44.0%</b>          |

## Appendix D

### Net Increase in funding since February 2018 to end of September 2018

|   | Corporate<br>Borrowing<br>£000 | Borrowing<br>Supported<br>by<br>Revenue<br>£000 | Specific<br>Resources<br>£000 | Total<br>Resources<br>£000 |
|---|--------------------------------|---|-------------------------------|----------------------------|
| Affordable Housing Commuted sums (Meynell Approach)                         |                                |   | 3,402.0                       | 3,402.0                    |
| Killingbeck Meadows NFM Scheme - WYCA grant                                 |                                |   | 2,550.0                       | 2,550.0                    |
| Section 278 Housing Development contributions                               | 2,000.0                        |   |                               | 2,000.0                    |
| Special Educational Needs and Disabilities (SEND) Special Provision Fund    |                                |   | 752.8                         | 752.8                      |
| A65 Leeds Bradford Airport Link Road - WYCA grant                           |                                |   | 735.0                         | 735.0                      |
| Adaptations Private Sector - addtl Grant and private sector contributions   |                                |   | 668.7                         | 668.7                      |
| Royal Park Greenspace Enhancements  |                                |   | 516.6                         | 516.6                      |
| New Supply Supported Housing Loans  |                                | 500.0   |                               | 500.0                      |
| Kirkgate Market Short Stay Car Park   |                                | 490.0   |                               | 490.0                      |
| Fuel Poverty Fund - private sector contributions                            |                                |   | 300.0                         | 300.0                      |
| Silk Mill Drive Demolition - Feed In Tarrif (FIT) Energy Income             |                                |   | 300.0                         | 300.0                      |
| Capital Receipts Incentive scheme (CRIS) Apr '18 to Sep '18                 | 283.8                          |   |                               | 283.8                      |
| Sovereign Square Greenscape   | 252.0                          |   |                               | 252.0                      |
| Westminster Building Refurbishment  |                                | 90.1  | 123.0                         | 213.1                      |
| 20/21 Basic Need Grant Allocation   |                                |   | 130.0                         | 130.0                      |
| Greek St Resurfacing - private sector contributions                         |                                |   | 123.0                         | 123.0                      |
| HRA - Feed In Tarrif (FIT) Energy Income                                    |                                |   | 109.8                         | 109.8                      |
| East of Otley Housing Access Rd - Housing Infrastructure Fund bid           |                                |   | 100.0                         | 100.0                      |
| Lyn Dyke, Kippax Flood Alleviation - Environment Agency                     |                                |   | 98.0                          | 98.0                       |
| Lotherton Park Christmas Experience   |                                |   | 49.0                          | 49.0                       |
| <b>Net Injections sought as part of the Q2 report</b>                       | <b>2,535.8</b>                 | <b>1,080.1</b>                                  | <b>9,957.9</b>                | <b>13,573.8</b>            |
| Net Injections with approvals in place                                      | 6,075.9                        | 63,811.7  | 18,507.3                      | 88,394.9                   |
| <b>Total Net Injections in place since February 2018</b>                    | <b>8,611.7</b>                 | <b>64,891.8</b>                                 | <b>28,465.2</b>               | <b>101,968.7</b>           |
| Slippage Movements as at 2017/18 Outturn                                    |                                |   |                               | 7,303.1                    |
| <b>Net Increase in funding since February 2018 to end of September 2018</b> |                                |   |                               | <b>109,271.8</b>           |

## Appendix E

### Capital Receipts Incentive Scheme (CRIS) Injections and Total Balance Available

| Ward Based Initiative (WBI) Allocations |  |                                       |                                    |                         |  |                            |
|---|--|---------------------------------------|------------------------------------|-------------------------|--|----------------------------|
|   | Initial WBI<br>Allocation from<br>2008 | Total CRIS<br>Injection to Mar<br>'18 | CRIS Injection<br>Apr 18 - Sept 18 | Total WBI<br>Allocation | Spent /<br>Committed<br>(as at Sept '18) | Total Balance<br>Available |
|   | £000                                   | £000                                  | £000                               | £000                    | £000                                     | £000                       |
| ADEL AND WHARFEDALE                     | 40.0                                   | 32.7                                  | 0.0                                | 72.7                    | 50.0                                     | 22.7                       |
| ALWOODLEY                               | 40.0                                   | 95.9                                  | 0.0                                | 135.9                   | 132.1                                    | 3.8                        |
| ARDSLEY AND ROBIN HOOD                  | 40.0                                   | 20.8                                  | 0.0                                | 60.8                    | 37.1                                     | 23.7                       |
| ARMLEY                                  | 40.0                                   | 25.4                                  | 37.1                               | 102.5                   | 63.8                                     | 38.7                       |
| BEESTON AND HOLBECK                     | 40.0                                   | 69.4                                  | 16.0                               | 125.4                   | 96.0                                     | 29.4                       |
| BRAMLEY AND STANNINGLEY                 | 40.0                                   | 98.9                                  | 0.0                                | 138.9                   | 40.7                                     | 98.2                       |
| BURMANTOFTS AND RICHMOND HILL           | 40.0                                   | 57.0                                  | 2.3                                | 99.3                    | 79.0                                     | 20.3                       |
| CALVERLEY AND FARSLEY                   | 40.0                                   | 45.3                                  | 0.0                                | 85.3                    | 83.4                                     | 1.9                        |
| CHAPEL ALLERTON                         | 40.0                                   | 67.6                                  | 0.0                                | 107.6                   | 40.0                                     | 67.6                       |
| HUNSLET & RIVERSIDE                     | 40.0                                   | 460.3                                 | 6.7                                | 507.0                   | 210.5                                    | 296.5                      |
| CROSSGATES AND WHINMOOR                 | 40.0                                   | 0.0                                   | 0.0                                | 40.0                    | 31.4                                     | 8.6                        |
| FARNLEY AND WORTLEY                     | 40.0                                   | 164.8                                 | 0.0                                | 204.8                   | 174.2                                    | 30.6                       |
| GARFORTH AND SWILLINGTON                | 40.0                                   | 15.1                                  | 0.0                                | 55.1                    | 53.2                                     | 1.9                        |
| GIPTON AND HAREHILLS                    | 40.0                                   | 194.2                                 | 0.0                                | 234.2                   | 130.2                                    | 104.0                      |
| GUISELEY AND RAWDON                     | 40.0                                   | 0.0                                   | 0.0                                | 40.0                    | 40.0                                     | 0.0                        |
| HAREWOOD                                | 40.0                                   | 114.9                                 | 0.0                                | 154.9                   | 35.4                                     | 119.5                      |
| HEADINGLEY                              | 40.0                                   | 205.1                                 | 0.0                                | 245.1                   | 160.3                                    | 84.8                       |
| HORSFORTH                               | 40.0                                   | 15.5                                  | 0.0                                | 55.5                    | 55.5                                     | 0.0                        |
| HYDE PARK AND WOODHOUSE                 | 40.0                                   | 305.8                                 | 0.0                                | 345.8                   | 65.5                                     | 280.3                      |
| KILLINGBECK AND SEACROFT                | 40.0                                   | 0.0                                   | 10.2                               | 50.2                    | 40.0                                     | 10.2                       |
| KIPPAX AND METHLEY                      | 40.0                                   | 33.3                                  | 0.0                                | 73.3                    | 42.7                                     | 30.6                       |
| KIRKSTALL                               | 40.0                                   | 52.2                                  | 0.0                                | 92.2                    | 51.0                                     | 41.2                       |
| MIDDLETON PARK                          | 40.0                                   | 28.9                                  | 65.5                               | 134.4                   | 52.0                                     | 82.4                       |
| MOORTOWN                                | 40.0                                   | 45.4                                  | 0.0                                | 85.4                    | 75.5                                     | 9.9                        |
| MORLEY NORTH                            | 40.0                                   | 57.8                                  | 0.0                                | 97.8                    | 42.7                                     | 55.1                       |
| MORLEY SOUTH                            | 40.0                                   | 30.7                                  | 0.0                                | 70.7                    | 44.1                                     | 26.6                       |
| OTLEY AND YEADON                        | 40.0                                   | 92.1                                  | 0.0                                | 132.1                   | 43.6                                     | 88.5                       |
| PUDSEY                                  | 40.0                                   | 177.2                                 | 0.0                                | 217.2                   | 47.6                                     | 169.6                      |
| ROTHWELL                                | 40.0                                   | 67.5                                  | 0.0                                | 107.5                   | 47.3                                     | 60.2                       |
| ROUNDHAY                                | 40.0                                   | 62.6                                  | 0.0                                | 102.6                   | 82.3                                     | 20.3                       |
| TEMPLE NEWSAM                           | 40.0                                   | 50.9                                  | 0.0                                | 90.9                    | 34.3                                     | 56.6                       |
| WEETWOOD                                | 40.0                                   | 165.8                                 | 0.0                                | 205.8                   | 107.8                                    | 98.0                       |
| WETHERBY                                | 40.0                                   | 75.0                                  | 75.0                               | 190.0                   | 57.0                                     | 133.0                      |
| <b>TOTALS</b>                           | <b>1,320.0</b>                         | <b>2,928.1</b>                        | <b>212.8</b>                       | <b>4,460.9</b>          | <b>2,346.2</b>                           | <b>2,114.7</b>             |

| Community Committee Allocations |                |   |                                    |  |  |                        |
|---------------------------------|----------------|---|------------------------------------|--|--|------------------------|
| Area                            | Percentage     | Total CRIS<br>Injection to Mar<br>'18 plus<br>transfers in/ out | CRIS Injection<br>Apr 18 - Sept 18 | Total CRIS<br>Injection to Sept<br>'18 | Spent /<br>Committed<br>(as at Sept '18) | Balance on<br>ACW CRIS |
|                                 | %              | £000  | £000                               | £000                                   | £000                                     | £000                   |
| INNER SOUTH                     | 12.72%         | 123.3   | 9.0                                | 132.3                                  | 38.1                                     | 94.2                   |
| OUTER SOUTH                     | 10.31%         | 130.1   | 7.3                                | 137.4                                  | 22.4                                     | 115.0                  |
| OUTER EAST                      | 10.20%         | 100.1   | 7.2                                | 107.3                                  | 24.5                                     | 82.8                   |
| INNER WEST                      | 10.97%         | 93.7  | 7.8                                | 101.5                                  | 74.0                                     | 27.5                   |
| INNER NORTH WEST                | 8.46%          | 96.7  | 6.0                                | 102.7                                  | 42.6                                     | 60.1                   |
| OUTER WEST                      | 8.73%          | 84.6  | 6.2                                | 90.8                                   | 55.4                                     | 35.4                   |
| OUTER NORTH WEST                | 8.81%          | 116.6   | 6.3                                | 122.9                                  | 61.6                                     | 61.3                   |
| INNER NORTH EAST                | 8.85%          | 86.9  | 6.3                                | 93.2                                   | 42.2                                     | 51.0                   |
| INNER EAST                      | 14.83%         | 143.7   | 10.5                               | 154.2                                  | 53.7                                     | 100.5                  |
| OUTER NORTH EAST                | 6.11%          | 60.0  | 4.4                                | 64.4                                   | 39.9                                     | 24.5                   |
| <b>TOTALS</b>                   | <b>100.00%</b> | <b>1,035.8</b>  | <b>71.0</b>                        | <b>1,106.8</b>                         | <b>454.4</b>                             | <b>652.4</b>           |

283.8

### Progress on major schemes within each objective 2018- 2022

#### 1. Improving our Assets

##### ***Housing Revenue Account (HRA)***

**Council Housing Growth Programme** – £4.3m spent to date in this financial year comprising £2.6m on new build schemes in Whinmoor, the Garnets and Broadlees and £1.7m on grants to registered providers. Future spend of £67.5m is profiled to complete by 2021/2022. The Council is targeting c£30.0m of council housing growth funding from within the overall programme to support the delivery of extra care housing.

**Council Housing Investment Programme** – Housing Leeds and BITMO are on track to spend £72m in 2018/19. £35.5m spend to date on improving housing conditions and energy efficiency, improvements to the environment through reduced carbon emissions and supporting more people to live safely in their own homes.

##### ***General Fund***

**Changing the Workplace** – Merrion house was completed in February 2018 and the full occupation was complete in May 2018. Leonardo and Thorsbey have now been released and a sale agreed. 1 Great George street closed and the Merrion Community Hub is now operational. Other achievements include Level 3 St George House complete, Civic Hall Phase 1 moves complete, John Charles Centre for Sport Middleton complex new ways of working roll out complete, Enterprise House complete, Belgrave and Evolution House handed back to the landlord.

**Highways Annual Maintenance & other Major Highways Programmes** – £33.6m of the projected £84.9m spent to date on the maintenance of district roads/streets, bridges, structures junction improvements.

#### 2. Investing in Major Infrastructure

**East Leeds Orbital Road - East Leeds Orbital Road** - Phase 1 has commenced with the Highways works contract for the Northern outer ring road junction improvements at A61 Harrogate Road roundabout and at Roundhay Park Lane crossroads have been awarded and works are progressing onsite with expected completion in November 2019. Phase 2 works on procurement of the main scheme and gateway business case submission to WYCA continues with a start date of mid 2019. The overarching business case includes the Council cashflowing eventual developer contributions from house builders as dwellings are completed.

**Leeds Public Transport Investment programme** – The £173.5m programme continues to progress and is in the design and consultation progress on the 5 main packages delivering some quick wins on the bus priority corridors and further phases of park and ride. The DfT undertook site visits on the 12th September within package portfolios on Bus Priority Routes, Park & Rides, City Centre Gateway Corridors, Rail and Bus delivery and are supportive of the programme of works.

**Flood Alleviation** –Phase 1 final account is being finalised. Phase 2 scheme submitted and whilst discussions with Ministers remain ongoing to secure funding,



the design work continues. Additional funding linked to the Phase 2 scheme has been secured from the European Structural and Investment Fund (ESIF) and the DEFRA Booster Fund to enable a package of early works to be undertaken. Grant funding has also been secured from the Environment Agency and West Yorkshire Combined Authority (WYCA) for flood alleviation schemes at Otley, Lyn Dyke Kippax and Killingbeck Meadows £2.55m injected as part of this report.

**District Heating Network** - Construction is now underway on Lincoln Green/Ebor/Saxton Gardens with over 90% of the underground pipes installed, works in communal areas within the blocks 50% complete and both energy centres due to be weatherproof by the end of October, with heat being delivered in April 2019.

**Cycle City Ambition** - Works are progressing on site and are due to complete by Summer 2019. An initial bid for a CityConnect3 scheme of £5-£6m was approved by WYCA to develop to Outline Business Stage. If subsequently approved, the scheme would be injected into the capital programme to be delivered for March 2021.

### 3. Supporting Service Provision

**Learning Places (Basic Need)** – The funding deficit is currently estimated at £133.5m, with the deficit projected to first occur in 2018/19. The following additional places have been created since February 2018 Capital programme;

| Project                    | Actual / anticipated completion                                       | No. of places created |
|----------------------------|---|-----------------------|
| Hunslet St Marys PS        | Completion of new build snags end April 2018                          | 105                   |
| Low Road PS                | Completion of extension February 2018; modular cladding 06 April 2018 | 70                    |
| Park Spring PS             | Construction completion 4th May 2018                                  | 105                   |
| Fieldhead Carr PS          | Completion of new build extension 6 Aug 2018                          | 210                   |
| Shakespeare PS             | Construction completion July 2018                                     | 315                   |
| Hunslet Moor PS            | New build and partial remodel / refurb completion for September 2018  | 105                   |
| Brudenell PS               | Completion August bank holiday 2018                                   | 140                   |
| Hawksworth Wood PS Phase 2 | Projected completion date 19th August 2018                            | 210                   |

Temporary primary bulge solutions completed at Carr Manor, Roundhay School, Allerton CE, Moor Allerton and Windmill.

**Social Emotional Mental Health (SEMH)** – New school buildings are now operational; the East SEMH opened in January '18, the South SEMH in April '18, and the North SEMH more recently in September.

### 4. Investing in New Technology

**Essential Information and Technology Infrastructure** – There are a number of major essential IT investments and associated programmes of work that are being implemented. The Apex Data Centre has now been upgraded to a Tier 3 Data Centre and the refresh of devices is continuing and work has started on refreshing public access devices as well. Enterprise Voice has now been rolled out to the vast majority of council sites. Compliance and security upgrade work is ongoing and Windows 10 has been scoped and successful pilots have been carried out in advance of a larger scale roll out in the coming months. In terms of Digital Inclusion

tablets have been purchased and made available to citizens to borrow tablet devices from libraries.

### **DIS Capital Programme – Digital Development Programme**

Digital Development Programme will ensure critical elements of the council's business applications remain fit for purpose and compliant. Compliance work is ongoing and progress made on GDPR and PSN across all applications Payment Card Industry Standards (PCI). The Microsoft upgrade programme is nearing completion with changes to the website (Leeds.gov.uk) and all subsidiary sites with a significant upgrade to software. Significant scoping and analysis has been undertaken as part of the Applications Refresh Programme

## **5. Supporting the Leeds Economy**

**George Street Redevelopment** – the Council and Town Centre Securities are in the process of finalising the legal agreements of the joint venture with the target date for completion of December 2018. The OJEU procurement of a main contractor is scheduled to start in January 2019 with a contract award circa May 2019.

**Westminster Building** – the project commenced in July 2018 and is currently running on time and on budget with completion by the end of December 2018. At present, the Market and Street Scene services will be relocating from George Street to Westminster Building in January/February 2019. The Phase 2 works to the main building communal areas has been approved by the CO FS and Director of CD and will commence in November 2018 and complete in February 2019.

**Leeds Playhouse** – Construction works commenced on site at the end of May 2018. The discovery of human remains in September in the area of the new St Peters Street extension is likely to cause a delay to the programme as the contractor has not been able to complete the foundation works until the remains have been removed by the Archaeologist. The additional costs are being contained within the existing funding envelope.

**Leeds Grand Theatre Replacement Roof** – This work is now complete with the Certificate of Making Good Defects being issued and retention monies being paid in October 2018.

**Local Centres Programme** - Following the Executive Board decision on 21 March 2018, schemes in 12 wards have progressed to the design and delivery stage.

**HS2 Growth Strategy and Leeds Integrated Station Masterplan** Work continues on the strategic outline business case on the next stage of the proposals for the integration and redevelopment of the existing station with HS2 station. Further design development is being procured by London & Continental Railways on behalf of Network Rail and there is potential to secure funding from the Transforming Cities fund to support the delivery of early phases.

As a public authority we need to ensure that all our strategies, policies, service and

## Equality, Diversity, Cohesion and Integration Screening



functions, both current and proposed have given proper consideration to equality, diversity, cohesion and integration.

A **screening** process can help judge relevance and provides a record of both the **process** and **decision**. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions. Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality, diversity, cohesion and integration.
- whether or not equality, diversity, cohesion and integration is being/has already been considered, and
- whether or not it is necessary to carry out an impact assessment.

|   |  |
|---|--|
| <b>Directorate: Resources and Housing</b> | <b>Service area: Resources &amp; Housing</b> |
| <b>Lead person: Bhupinder Chana</b>       | <b>Contact number: 88044</b>                 |

### 1. Title: Capital Programme Update

Is this a:

☒

Strategy / Policy

☐

Service / Function

☐

Other

If other, please specify

### 2. Please provide a brief description of what you are screening

The report presents an updated capital programme including the overall financial position and a progress report on major schemes and programmes.

### 3. Relevance to equality, diversity, cohesion and integration

All the council's strategies/policies, services/functions affect service users, employees or the wider community – city wide or more local. These will also have a greater/lesser relevance to equality, diversity, cohesion and integration.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation. Also those areas that impact on or relate to equality: tackling poverty and improving health and well-being.

| Questions   | Yes | No          |
|---|-----|-------------|
| Is there an existing or likely differential impact for the different equality characteristics?  |     | X           |
| Have there been or likely to be any public concerns about the policy or proposal?   |     | X           |
| Could the proposal affect how our services, commissioning or procurement activities are organised, provided, located and by whom?   |     | X           |
| Could the proposal affect our workforce or employment practices?  |     | X           |
| Does the proposal involve or will it have an impact on <ul style="list-style-type: none"> <li>Eliminating unlawful discrimination, victimisation and harassment</li> <li>Advancing equality of opportunity</li> <li>Fostering good relations</li> </ul> |     | X<br>X<br>X |

If you have answered **no** to the questions above please complete **sections 6 and 7**

If you have answered **yes** to any of the above and;

- Believe you have already considered the impact on equality, diversity, cohesion and integration within your proposal please go to **section 4**.
- Are not already considering the impact on equality, diversity, cohesion and integration within your proposal please go to **section 5**.

|   |
|---|
| <b>4. Considering the impact on equality, diversity, cohesion and integration</b>   |
| <p>If you can demonstrate you have considered how your proposals impact on equality, diversity, cohesion and integration you have carried out an impact assessment.</p> <p>Please provide specific details for all three areas below (use the prompts for guidance).</p>  |
| <ul style="list-style-type: none"> <li> <b>How have you considered equality, diversity, cohesion and integration?</b><br/> <b>(think about</b> the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected) </li> </ul>                           |
| <ul style="list-style-type: none"> <li> <b>Key findings</b><br/> <b>(think about</b> any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another) </li> </ul> |
| <ul style="list-style-type: none"> <li> <b>Actions</b><br/> <b>(think about</b> how you will promote positive impact and remove/ reduce negative impact) </li> </ul>  |

|   |
|---|
| <b>5. If you are <b>not</b> already considering the impact on equality, diversity, cohesion and</b> |
|---|

|  |  |
|--|--|
| integration you <b>will need to carry out an impact assessment</b> .   |  |
| Date to scope and plan your impact assessment:                         |  |
| Date to complete your impact assessment                                |  |
| Lead person for your impact assessment<br>(Include name and job title) |  |

|  |                                  |                   |
|--|----------------------------------|-------------------|
| <b>6. Governance, ownership and approval</b>                                 |                                  |                   |
| Please state here who has approved the actions and outcomes of the screening |                                  |                   |
| <b>Name</b>  | <b>Job title</b>                 | <b>Date</b>       |
| Bhupinder Chana  | Head of Finance<br>Technical R&H | 18th October 2018 |
| <b>Date screening completed</b>  |                                  |                   |

|  |  |
|--|--|
| <b>7. Publishing</b>   |  |
| <p>Though <b>all</b> key decisions are required to give due regard to equality the council <b>only</b> publishes those related to <b>Executive Board, Full Council, Key Delegated Decisions</b> or a <b>Significant Operational Decision</b>.</p> <p>A copy of this equality screening should be attached as an appendix to the decision making report:</p> <ul style="list-style-type: none"> <li>• Governance Services will publish those relating to Executive Board and Full Council.</li> <li>• The appropriate directorate will publish those relating to Delegated Decisions and Significant Operational Decisions.</li> <li>• A copy of all other equality screenings that are not to be published should be sent to <a href="mailto:equalityteam@leeds.gov.uk">equalityteam@leeds.gov.uk</a> for record.</li> </ul> <p>Complete the appropriate section below with the date the report and attached screening was sent:</p> |  |
| For Executive Board or Full Council – sent to<br><b>Governance Services</b>  | Date sent: 19 <sup>th</sup> October 2018 |
| For Delegated Decisions or Significant Operational Decisions – sent to appropriate <b>Directorate</b>  | Date sent:                               |
| All other decisions – sent to<br><a href="mailto:equalityteam@leeds.gov.uk">equalityteam@leeds.gov.uk</a>  | Date sent:                               |