

## CORPORATE GOVERNANCE AND AUDIT COMMITTEE

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Meeting to be held remotely (via Zoom) on  
Monday, 8th February, 2021 at 10.00 am

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### MEMBERSHIP

#### Councillors

P Grahame	J Bentley	P Harrand
A Scopes (Chair)		M Harrison
P Truswell		J Taylor
J Illingworth		
B Garner		

*Note to observers of the meeting: To remotely observe this meeting, please click on the 'View the Meeting Recording' link which will feature on the meeting's webpage (linked below) ahead of the meeting. The webcast will become available at the commencement of the meeting.*

<https://democracy.leeds.gov.uk/ieListDocuments.aspx?CId=161&MId=10122&Ver=4>

# A G E N D A

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1			<p><b>APPEALS AGAINST REFUSAL OF INSPECTION OF DOCUMENTS</b></p> <p>To consider any appeals in accordance with Procedure Rule 15.2 of the Access to Information Procedure Rules (in the event of an Appeal the press and public will be excluded).</p> <p>(*In accordance with Procedure Rule 15.2, written notice of an appeal must be received by the Head of Governance Services at least 24 hours before the meeting)</p>	
2			<p><b>EXEMPT INFORMATION - POSSIBLE EXCLUSION OF THE PRESS AND PUBLIC</b></p> <p>1 To highlight reports or appendices which officers have identified as containing exempt information, and where officers consider that the public interest in maintaining the exemption outweighs the public interest in disclosing the information, for the reasons outlined in the report.</p> <p>2 To consider whether or not to accept the officers recommendation in respect of the above information.</p> <p>3 If so, to formally pass the following resolution:-</p> <p><b>RESOLVED</b> – That the press and public be excluded from the meeting during consideration of the following parts of the agenda designated as containing exempt information on the grounds that it is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that if members of the press and public were present there would be disclosure to them of exempt information, as follows:-</p>	

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3			<p><b>LATE ITEMS</b></p> <p>To identify items which have been admitted to the agenda by the Chair for consideration</p> <p>(The special circumstances shall be specified in the minutes)</p>	
4			<p><b>DECLARATION OF DISCLOSABLE PECUNIARY AND OTHER INTERESTS'</b></p> <p>To disclose or draw attention to any disclosable pecuniary interests for the purposes of Section 31 of the Localism Act 2011 and paragraphs 13 -16 of the Members' Code of Conduct.</p>	
5			<p><b>APOLOGIES FOR ABSENCE</b></p> <p>To receive apologies for absence (If any)</p>	
6			<p><b>MINUTES OF THE PREVIOUS MEETING</b></p> <p>To consider and approve the minutes of the previous meeting held on 14<sup>th</sup> December 2020.</p> <p>(Copy attached)</p>	9 - 18
7			<p><b>MATTERS ARISING FROM THE MINUTES</b></p> <p>To consider any matters arising from the minutes.</p>	

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8			<p data-bbox="676 181 1358 286"><b>ANNUAL ASSURANCE REPORT ON ARRANGEMENTS IN RELATION TO CUSTOMER CONTACT AND SATISFACTION</b></p> <p data-bbox="676 331 1401 510">To consider a report by the Chief Officer Customer Access and Welfare which presents the annual update on customer contact and satisfaction levels with customer services delivered through Customer Access on behalf of the Council.</p> <p data-bbox="676 633 927 667">(Report attached)</p>	19 - 52
9			<p data-bbox="676 857 1270 925"><b>GOVERNANCE ARRANGEMENTS FOR DEVOLUTION</b></p> <p data-bbox="676 969 1406 1261">To consider a report by the City Solicitor which seeks this Committee's view on the governance arrangements for devolution, in particular proposals surrounding mayoral accountability, and whether the Mayor, once elected, should be invited to Full Council once a year to answer elected members questions, and to prepare an annual report for the Council's consideration.</p> <p data-bbox="676 1339 963 1373">(Report to attached)</p>	53 - 84

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10			<p><b>INTERNATIONAL TRANSFERS FOLLOWING THE UK'S EXIT FROM THE EUROPEAN UNION</b></p> <p>To consider a report by the Data Protection Officer which provides an update with regard to International transfers of personal data following the UK's exit from the EU.</p> <p>The report also seeks to provide assurances as to the sensitivity and impact of the relevant data and the arrangements being put in place to mitigate the risks and responses in relation to data adequacy in respect of Brexit</p> <p>(Report attached)</p>	85 - 90
11			<p><b>ASSURANCE REPORT ON DIGITAL INFORMATION SYSTEMS CONTROLS</b></p> <p>To consider a report by the Chief Digital and Information Officer which seeks to provide assurance on the management and control mechanisms which support the successful ongoing delivery of Digital and Information service provision.</p> <p>(Report to attached)</p>	91 - 106
12			<p><b>PSN CERTIFICATION - UPDATE REPORT</b></p> <p>To consider a report by the Data Protection Officer which provides an update on Leeds City Council's readiness for Public Services Network (PSN) compliance submission.</p> <p>(Report attached)</p>	107 - 112

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13			<p><b>APPROVAL OF THE AUDITED STATEMENT OF ACCOUNTS AND GRANT THORNTON AUDIT REPORT</b></p> <p>To consider a report by the Chief Officer Financial Services which seeks the Committee’s approval of the Council’s final audited Statement of Accounts and to consider any material amendments identified by the Council or recommended by the auditors.</p> <p>(Report attached)</p>	113 - 176
14			<p><b>WORK PROGRAMME</b></p> <p>To receive a report by the Chief Officer Financial Services which notifies Members of the Committee’s Work Programme for 2021/22.</p> <p>(Report attached)</p>	177 - 180
15			<p><b>DATE AND TIME OF NEXT MEETING</b></p> <p>To note that the next meeting will take place on Monday, 15<sup>th</sup> March 2021 at 10.00am (Remote Meeting – Zoom)</p>	

### Third Party Recording

Recording of this meeting is allowed to enable those not present to see or hear the proceedings either as they take place (or later) and to enable the reporting of those proceedings. A copy of the recording protocol is available from the contacts named on the front of this agenda.

### Use of Recordings by Third Parties– code of practice

- a) Any published recording should be accompanied by a statement of when and where the recording was made, the context of the discussion that took place, and a clear identification of the main speakers and their role or title.
- b) Those making recordings must not edit the recording in a way that could lead to misinterpretation or misrepresentation of the proceedings or comments made by

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attendees. In particular there should be no internal editing of published extracts; recordings may start at any point and end at any point but the material between those points must be complete.