Summary of main issues

In October 2014, the NHS published the Five Year Forward View, a wide-ranging strategy providing direction to health and partner care services to improve outcomes and become financially sustainable. On December 22nd, NHS England (NHSE) published ‘Delivering the Forward View: NHS planning guidance 2016/17 – 2020/21’ which described the requirement for identified planning ‘footprints’ to produce a Sustainability and Transformation Plan (STP) as well as linking into appropriate regional footprint STPs (at a West Yorkshire level).

The planning guidance asked every health and care system to come together to create their own ambitious local blueprint for accelerating implementation of the NHS Five Year Forward View. STPs are ‘place-based’, multi-year plans built around the needs of local populations and should set out a genuine and sustainable transformation in service user experience and health outcomes over the longer-term.

Rob Webster, Chief Executive of South West Yorkshire Partnership NHS Foundation Trust, has been appointed by NHSE as the lead for the West Yorkshire STP, with Tom Riordan, Chief Executive of Leeds City Council, as the Senior Responsible Officer for the Leeds STP.

NHSE requested that regional STP footprints deliver their initial STPs at the end of June 2016. An initial STP for West Yorkshire was duly submitted. However, NHSE has
recognised that further work is required for all STPs and has agreed a continued planning phase up to October.

This paper provides an overview of the STP development in Leeds and at a West Yorkshire level so far, and highlights some of the areas that will be addressed in the final Leeds and West Yorkshire STPs once they are developed in the autumn.

The paper also makes reference to the Local Digital Roadmaps (LDR) which, alongside the development of the STPs, are a national requirement. The LDR is a key priority within the NHS Five Year Forward View and an initial submission for Leeds was provided to NHSE at the end of June. This outlines how, as a city, we plan to achieve the ambition of being "paper-free at the point of care" by 2020 and demonstrates how digital technology will underpin the ambitions and plans for transformation and sustainability. A paper covering the LDR in greater detail is also shared and discussed at the September 6th Health and Wellbeing Board.

**Recommendations**

The Health & Wellbeing Board is asked to:

1. Consider – does it endorse the approach described within this paper for the continued development of the Leeds and West Yorkshire STPs, within the nationally prescribed framework?

2. Note the key areas of focus for the Leeds STP described in this report and how they will contribute to the delivery of the Leeds Health and Wellbeing Strategy;

3. Note that the Leeds Health and Wellbeing Board will continue to provide a strategic lead for the Leeds STP;

4. Note the key milestones outlined in this paper and the officers from the Leeds health and care partnership who are leading the development of the Leeds STP and the West Yorkshire STP;

5. Receive a further report in November 2016 with an overview of the proposed key changes and impacts outlined in the Leeds STP and the West Yorkshire STP as we move forward towards implementation and oversight.

**1 Purpose of this report**

1.1 The purpose of this paper is to provide the Health & Wellbeing Board with an overview of the emerging Sustainability and Transformation Plans (STPs).

1.2 It provides an update to the paper discussed at the April 21st Health and Wellbeing Board setting out the background, context and the relationship between the Leeds and West Yorkshire plans. It also highlights some of the key areas that will be addressed within the Leeds plan which will add further detail to the strategic priorities set out in the recently refreshed Leeds Health and Wellbeing Strategy 2016 – 2021.

1.3 The paper seeks assurance from the Board that it supports the approach being taken.
2 Background information

Local picture

2.1 Leeds has an ambition to be the Best City in the UK by 2030. A key part of this is being the Best City for Health and Wellbeing and Leeds has the people, partnerships and placed-based values to succeed. The vision of the Leeds Health and Wellbeing Strategy is: ‘Leeds will be a healthy and caring city for all ages, where people who are the poorest will improve their health the fastest’. A strong economy is also key: Leeds will be the place of choice in the UK to live, for people to study, for businesses to invest in, for people to come and work in and the regional hub for specialist health care. Services will provide a minimum universal offer but will tailor specific offers to the areas that need it the most. These are bold statements, in one of the most challenging environments for health and care in living memory.

2.2 Since the first Leeds Health and Wellbeing Strategy in 2013, there have been many positive changes in Leeds and the health and wellbeing of local people continues to improve. Health and care partners have been working collectively towards an integrated system that seeks to wrap care and support around the needs of the individual, their family and carers, and helps to deliver the Leeds vision for health and wellbeing. Leeds has seen a reduction in infant mortality as a result of a more preventative approach; it has been recognised for improvements in services for children; it became the first major city to successfully roll out an integrated, electronic patient care record, and early deaths from avoidable causes have decreased at the fastest rate in the most deprived wards.

2.3 These are achievements of which to be proud, but they are only the start. The health and care system in Leeds continues to face significant challenges: the ongoing impact of the global recession and national austerity measures, together with significant increases in demand for services brought about by both an ageing population and the increased longevity of people living with one or more long term conditions. Leeds also has a key strategic role to play at West Yorkshire level, with the sustainability of the local system intrinsically linked to the sustainability of other areas in the region.

2.4 Leeds needs to do more to change conversations across the city and to develop the necessary infrastructure and workforce to respond to the challenges ahead. As a city, we will only meet the needs of individuals and communities if health and care workers and their organisations work together in partnership. The needs of patients and citizens are changing; the way in which people want to receive care is changing, and people expect more flexible approaches which fit in with their lives and families.

2.5 Further, Leeds will continue to change the way it works, becoming more enterprising, bringing in new service delivery models and working more closely with partners, public and the workforce locally and across the region to deliver shared priorities. However, this will not be enough to address the sustainability challenge. Future years are likely to see a reduction in provision with regard to services which provide fewer outcomes for local people and offer less value for the ‘Leeds £’.
2.6 Much will depend on changing the relationship between the public, workforce and services. There is a need to encourage greater resilience in communities so that more people are able to do more themselves. This will reduce the demands on public services and help to prioritise resources to support those most at need. The views of people in Leeds are continuously sought through public consultation and engagement, and prioritisation of essential services will continue, especially those that support vulnerable adults, children and young people.

National picture

2.7 In October 2014, the NHS published the Five Year Forward View, a wide-ranging strategy providing direction to health and partner care services to improve outcomes and become financially sustainable. On December 22nd, NHS England (NHSE) published the ‘Delivering the Forward View: NHS planning guidance 2016/17 – 2020/21’, which is accessible at the following link:


2.8 The planning guidance asked every health and care system to come together to create their own ambitious local blueprint – Sustainability and Transformation Plan (STP) - for accelerating implementation of the Five Year Forward View and for addressing the challenges within their areas. STPs are place-based, multi-year plans built around the needs of local populations (‘footprints’) and should set out a genuine and sustainable transformation in service user experience and health outcomes over the longer term. The key points in the guidance were:

- The requirement for ‘footprints’ to develop a STP;
- A strong emphasis on system leadership;
- The need to have ‘placed based’ (as opposed to organisation-based) planning;
- STPs must cover all areas of Clinical Commissioning Group (CCG) and NHS England commissioned activity;
- STPs must cover better integration with local authority services, including, but not limited to, prevention and social care, reflecting local agreed health and wellbeing strategies;
- The need to have an open, engaging and iterative process clinicians, patients, carers, citizens, and local community partners including the independent and voluntary sectors, and local government through health and wellbeing boards;
- That STPs will become the single application and approval process for being accepted onto programmes with transformational funding for 2017/18 onwards.
2.9 The national guidance is largely structured around asking areas to identify what action will take place to address the following three questions:

- *How will you close your health and wellbeing gap?*
- *How will you drive transformation to close your care and quality gap?*
- *How will you close your finance and efficiency gap?*

2.10 NHSE recognises 44 regional ‘footprints’ in England. This includes West Yorkshire. The West Yorkshire footprint in turn comprises 6 ‘local footprints’, including Leeds (the others being Bradford and Craven, Calderdale, Kirklees, Harrogate & Rural District and Wakefield). There is an expectation that the regional STPs will focus on those services which will benefit from planning and delivery on a regional scale while local STPs will focus on transformative change and sustainability in their respective local geographies. Local STPs will also need to underpin the regional STP and be synchronised and coordinated with it.

2.11 The following describes the emerging West Yorkshire STP as well as the Leeds STP which will allow Leeds to be the best city for health and wellbeing and help deliver significant parts of the new Leeds Health and Wellbeing Strategy. Both STPs should be viewed as evolving plans which be significantly developed through July – October 2016 for delivery from November onwards. In addition, a formal update on the West Yorkshire STP has been prepared by the West Yorkshire STP Programme Management Office; this is attached as an appendix.

2.12 Key milestones

- December 2015 – planning guidance published
- 15th April 2016 - Short return to NHSE, including priorities, gap analysis and governance arrangements
- May – June development of initial STPs
- End June – Each regional footprint (including West Yorkshire) submitted its emerging STP for a checkpoint review
- July – October – further development of the STPs, at both Leeds and West Yorkshire levels, and active engagement with citizens, service users, carers and staff on the right solutions to address the gaps
- October – aim to have final STPs prepared for review and approval
- November onwards – delivery and implementation of the STPs.
3 Main issues

‘Geography’ of the STP

3.1 NHSE has developed the concept of a ‘footprint’ which is a geographic area that the STP will cover and have identified 44 ‘footprints’ nationally.

3.2 Leeds, as have other areas within West Yorkshire, made representation regionally and nationally that each area within West Yorkshire should be recognised as its own footprint. However, since April it has become clear that STP submissions to NHS England will be made only at the regional level ie, for us, a West Yorkshire STP which is supported by 6 “local” STPs, including the Leeds STP.

3.3 The emerging STPs for Leeds and West Yorkshire will therefore be multi-tiered. The primary focus for Leeds is a plan covering the Leeds city footprint which will focus on citywide change and delivery. It will sit under the refreshed Leeds Health and Wellbeing Strategy and will encompass all key health and care organisations in the city. When developing the Leeds city STP, consideration will be given to appropriate links / impacts at a West Yorkshire level.

Approach to developing the West Yorkshire STP

3.4 Rob Webster, Chief Executive of South West Yorkshire Partnership NHS Foundation Trust, has been appointed by NHSE as the lead for the West Yorkshire STP and the Healthy Futures Programme Management Office (hosted by Wakefield CCG) is providing support to the development of the West Yorkshire STP.

3.5 Developing the West Yorkshire STP was the substantial agenda item of the West Yorkshire Collaboration of Chief Executives meeting held on 8th April. At that meeting, it was agreed that ‘primacy’ should be retained at a local level and any further West Yorkshire priorities will be determined by collective leadership using the following criteria:

- Does the need require a critical mass beyond a local level to deliver the best outcomes?
- Do we need to share best practice across the region to achieve the best outcomes?
- Will working at a West Yorkshire level give us more leverage to achieve the best outcomes?

3.6 The following guiding principles will underpin the West Yorkshire approach to working together:

- We will be ambitious for the populations we serve and the staff we employ
- The West Yorkshire STP belongs to commissioners, providers, local government and NHS
• We will do the work once – duplication of systems, processes and work should be avoided as wasteful and potential source of conflict

• We will undertake shared analysis of problems and issues as the basis of taking action

• We will apply subsidiarity principles in all that we do – with work taking place at the appropriate level and as near to local as possible.

3.7 Priority areas currently being considered at a West Yorkshire STP level include: Urgent & Emergency Care, Specialised Commissioning, Mental Health, Prevention at Scale, Stroke, and Cancer

3.8 These areas will be supported by enabling workstreams covering: digital, workforce, leadership and organisational development, communications & engagement and finance & business intelligence.

3.9 The following diagram shows the framework for the development of the West Yorkshire STP.

3.10 Leeds is well represented within the development of the West Yorkshire STP with Nigel Gray (Chief Executive, Leeds North CCG) leading on Urgent and Emergency Care, Phil Corrigan (Chief Executive, Leeds West CCG) leading on Specialising Commissioning, Dr Ian Cameron (Director of Public Health, Leeds City Council) leading Prevention at Scale, Jason Broch (Chair of Leeds North CCG) leading on Digital, and Dr Andy Harris (Clinical Chief Officer Leeds South and East CCG) leading on Finance and Business Intelligence. In addition, Julian Hartley (Chief Executive, Leeds Teaching Hospitals NHS Trust) is chair of the West Yorkshire Association of Acute Trusts (WYAAT) and Thea Stein (Chief
Executive of Leeds Community Healthcare NHS Trust) is the co-chair of a new West Yorkshire Primary Care and Community Steering Group.

3.11 A series of workshops have been arranged focusing on the different priority areas for West Yorkshire with representatives from across the CCGs, NHS providers and local authorities in attendance.

3.12 It is important to recognise that at the time of writing this paper the West Yorkshire STP is still in its development stage and the links between this and the six local STPs are still being worked through.

3.13 Leeds is also taking a lead role in bringing together Chairs of the Health and Wellbeing Boards across West Yorkshire to provide strategic leadership to partnership working around health and wellbeing and the STPs across the region.

**Approach taken in Leeds**

3.14 The refreshed Joint Strategic Needs Assessment (JSNA), the development of our second Leeds Health and Wellbeing Strategy and discussions / workshops at the Health and Wellbeing Boards in January, March, April, June and July have been used to help identify the challenges and gaps that Leeds needs to address and the priorities within our Leeds STP. The Health and Wellbeing Board has also provided strategic steer to the shaping of solutions to address these challenges.

3.15 Any plans described within the final Leeds STP will directly link back to the refreshed Leeds Health and Wellbeing Strategy under the strategic leadership of the Health and Wellbeing Board.

3.16 The Leeds Health and Care Partnership Executive Group (PEG) has been meeting monthly to provide oversight of the development of the Leeds STP. This group comprises the Chief Executives / Accountable Officers of the statutory providers and commissioners, the Director of Adult Social Care, the Director of Children’s Services and the Director of Public Health, chaired by the Chief Executive of Leeds City Council.

3.17 A joint team with representatives from across the statutory partners is driving the development of the Leeds STP while ensuring appropriate linkages with the West Yorkshire STP. This team is being led by the Chief Operating Officer, Leeds South and East CCG. It comprises:

- A Central Team, providing oversight, programme management, coordination, financial and other impact analysis functions;

- Senior Managers and Directors across key elements of health and social care, who are responsible for identifying the major services changes we need to address the gaps;

- Experts from the “enabling” parts of the system such as informatics, workforce and estates, who need to address the implications of, and opportunities arising from, the proposed service changes;
• Individual members of the PEG, who act as Senior Responsible Owners and champion specific aspects of the STP;
• A City-wide Planning Group, with representation from across the city, which provides assurance to the PEG on STP development.

3.18 The development of the Leeds STP has initially identified 5 primary ‘Elements’. These are the areas of health and care services where we expect most transformational change to occur:
• ‘Rebalancing the conversation’ (sometime referred to as ‘the social contract’) with staff, service users and the public;
• Prevention and Proactive Care;
• Rapid Response in Time of Crisis;
• Efficient and Effective Secondary Care;
• Education, Innovation and Research.

3.19 These are supported by the ‘enabling aspects’ of services / systems – where change will actually be driven from:
• Workforce
• Digital
• Estates and Procurement
• Communications & Engagement
• Finance & Business Intelligence.

These emerging themes are illustrated in the STP structure diagram below1:

1 This diagram has been slightly updated since it was also included in the Leeds LDR.
3.20 Over 40 leads (at mainly Senior Manager and Director-level) from across the partnership have been assigned to one or more of the Elements / Enablers to work together to develop the detail. A flexible, responsive and iterative process to developing the STP has been deployed, focusing on the gaps, the solutions to address the gaps, and impact / dependencies across the other areas.

3.21 Workshops have taken place with Senior Managers / Directors from across all partners and the 3rd sector to understand what key solutions and plans are being developed across the Elements and Enablers, to develop a ‘golden thread’ or narrative that describes all of the proposed changes in terms of a whole system, and to provide constructive input into the solutions.

Local Digital Roadmaps

3.22 Alongside the development of the STP, there has also been a national requirement to develop and submit a Local Digital Roadmap (LDR). The LDR is a key priority within the NHS Five Year Forward View and an initial submission was made to NHSE at the end of June, after working with the Leeds Informatics Board and other stakeholders. The LDR describes a 5-year digital vision, a 3-year journey towards becoming paper-free-at-the-point-of-care and 2-year plans for progressing a number of predefined ‘universal capabilities’. Within this, it demonstrates how digital technology will underpin the ambitions and plans for service transformation and sustainability.

3.23 LDRs are required to identify how local health and care systems will deploy and optimise digitally enabled capabilities to improve and transform practice, workflows and pathways across the local health and care system. Critically, they will be a gateway to funding for the city but they are not intended to be a replacement for individual organisations’ information strategies. Over the next 5 years, funding of £1.3bn is to be distributed across local health and social care systems to achieve the paper-free ambition.

3.24 The priority informatics opportunities identified in the LDR are:

- To use technology to support people to maintain their own health and wellbeing;
- To ensure a robust IT infrastructure provision that supports responsive and resilient 24/7 working across all health and care partners;
- To provide workflow and decision support technology across General Practice, Neighbourhood Teams, Hospitals and Social Care;
- To ensure a change management approach that embeds the use of any new technology into everyday working practices.

3.25 It is recognised that resources, both financial and people (capacity and capability), are essential to delivering this roadmap. A city-first approach is critical and seeks to eradicate the multiple and diverse initiatives which come from different parts of the health and care system, which use up resources in an unplanned way and often confuse. The LDR will also ensure that digital programmes and projects are
aligned fully to agreed whole-system outcomes described in the health and wellbeing strategy and the STP.

3.26 A paper covering the LDR in greater detail is also being discussed at the September 6th Health and Wellbeing Board.

**Key aspects of the emerging Leeds STP**

3.27 The Leeds Health and Wellbeing Board has provided a strong steer to the shaping of the Leeds STP through discussions at formal Health and Wellbeing Boards on January 12th and April 21st and two STP related workshops held on June 21st and July 28th. The Board has reinforced the commitment to the Leeds footprint. The Board also supports taking our ‘asset-based’ approach to the next level. This is enshrined in a set of values and principles and a way of thinking about our city, which identifies and makes visible the health and care-enhancing assets in a community. It sees citizens and communities as the co-producers of health and well-being rather than the passive recipients of services. It promotes community networks, relationships and friendships that can provide caring, mutual help and empowerment. It values what works well in an area and identifies what has the potential to improve health and well-being. It supports individuals’ health and well-being through self-esteem, coping strategies, resilience skills, relationships, friendships, knowledge and personal resources. It empowers communities to control their futures and create tangible resources such as services, funds and buildings.

3.28 The members of the Board have also placed the challenge that as a system we need to think and act differently in order to meet the challenges and ensure that “Leeds will be a healthy and caring city for all ages, where people who are the poorest will improve their health the fastest”.

**Challenges faced by Leeds**

3.29 The city faces many significant health and social care challenges commensurate with its size, diversity, urban density and history. We continue to face significant health inequalities between different groups. Over the next 25 years the number of people who live in Leeds is predicted to grow by over 15 per cent. The number of people aged over 65 is estimated to rise by almost a third to over 150,000 by 2030.

3.30 We have identified several specific areas where, if we focused our collective efforts, we predict will have the biggest impact in addressing the health and wellbeing gap, care quality gap and finance & efficiency gap.

3.31 The Health and Wellbeing Board has considered these gaps and what could be done to address them, as set out below.
<table>
<thead>
<tr>
<th>Health and Wellbeing Gaps</th>
<th>Care and Quality Gaps</th>
</tr>
</thead>
<tbody>
<tr>
<td>Life expectancy for men and women remains significantly worse in Leeds than the national average. The gaps that we need to address are set out below:</td>
<td>The following NHS Constitutional KPIs have been identified as the areas to focus on to reduce the care and quality gap:</td>
</tr>
<tr>
<td>HW1 - Cardiovascular disease (CVD) mortality is significantly worse than for England</td>
<td>CQ1 - Mental Health (including IAPT)</td>
</tr>
<tr>
<td>HW2 - Cancer mortality is significantly worse than the rest of Yorkshire and the Humber</td>
<td>CQ2 - Patient Satisfaction</td>
</tr>
<tr>
<td>HW3 - Deaths from cancer are the single largest cause of avoidable PYLL in the city, accounting for 36.3% of all avoidable PYLL</td>
<td>CQ3 - Quality of Life</td>
</tr>
<tr>
<td>HW4 - PYLL from cancer is twice the level in the deprived Leeds quintile than in Leeds non-deprived</td>
<td>CQ4 - A&amp;E and Ambulance Response Times</td>
</tr>
<tr>
<td>HW6 - Suicides have increased</td>
<td>CQ5 - Delayed Transfers of Care (DTOC)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Finance and Efficiency Gaps</th>
</tr>
</thead>
<tbody>
<tr>
<td>The financial gap facing the city (if we were to do nothing about it) would be £723m over the next five years.</td>
</tr>
<tr>
<td>This estimate reflects the forecast level of pressures facing the four statutory delivery organisations in the city and assumes that our three Leeds CCGs continue to support financial pressures in other parts of their portfolio whilst meeting NHS business rules.</td>
</tr>
</tbody>
</table>

*Health and wellbeing gap*

3.32 It is recognised that, despite best efforts, health improvement is not progressing fast enough and health inequalities are not currently narrowing. Life expectancy for men and women remains significantly worse in Leeds than the national average. The gap between Leeds and England has narrowed for men, whilst the gap between Leeds and England has worsened for women. Cardiovascular disease mortality is significantly worse than for England. However, the gap has narrowed. Cancer mortality is significantly worse than the rest of Yorkshire and the Humber (YH) and England with no narrowing of the gap. There is a statistically significant difference for women whose mortality rates are higher in Leeds than the YH average. The all-ages-all-cancers trend for 1995-2013 is improving but appears to be falling more slowly than both the YH rate and the England rate, which is of concern.

3.33 Avoidable Potential Years of Life Lost (PYLL) from Cancer for those under 75 years of age is a new measure which takes into account the age of death as well as the cause of death. Deaths from cancer are the single largest cause of avoidable PYLL in the city, accounting for 36.3% of all avoidable PYLL. PYLL from cancer is twice the level in the deprived Leeds quintile than in Leeds non-deprived. Infant mortality has significantly reduced from being higher than the England rate to now being below it. Suicides have increased, after a decline, and
are now above the England rate. Within Leeds, for the big killers there has been a significant narrowing in the gap for deprived communities for cardiovascular disease, a narrowing of the gap for respiratory disease but no change for cancer mortality. There are 2,200 deaths per year <75 years. Of these 1,520 are avoidable (preventable and amendable) and, of these, 1,100 are in non-deprived parts of Leeds and 420 in deprived parts of Leeds.

3.34 The following are opportunities where action to address the gap might be identified:

- Scaling up – Scaling up of targeted prevention to those at high risk of Cardiovascular disease, diabetes, smoking related respiratory disease and falls. In addition, scaling up of children and young people initiatives already in existence, such as Best Start and childhood obesity / healthy weight programmes.

- Look at options to move to a community-based approach to health beyond personal / self-care. Scale up the Leeds Integrated Healthy Living Service; aligning partner Commissioning and provision, inspiring communities and partners to work differently – including physical activity/active travel, digital, business sector, developing capacity and capability.

- Increased focus on prevention - for short term and longer term benefits.

Care and quality gap

3.35 The following gaps have been identified:

- There are a number of aspects to the Care and Quality gap. In terms of our NHS Constitutional Key Performance Indicators (KPIs) the areas where significant gaps have been identified include: Mental Health (including Improving Access to Psychological Therapies), Patient Satisfaction, Quality of Life, Urgent Care Standards, Ambulance Response Times and Delayed Transfers of Care (DTOC).

- Whilst performance on the Urgent Care Standard is below the required level, performance in Leeds is better than most parts of the country. There is a need to ensure that a greater level of regional data is used to reflect the places where Leeds residents receive care.

- There are 4 significant challenges facing General Practice across the city: the need to align and integrate working practices with our 13 Neighbourhood Teams; the need to provide patients with greater access to their services (this applies to both extended hours during the ‘working week’, and also at weekends); the severe difficulties they are experiencing in recruiting and retaining GPs and practice nurses; and the significant quality differential between the best and worst primary care estate across the city.

- There is a need to ensure that there is a wider context of Primary Care, outside of general practices that must be considered.
3.36 The following are opportunities where action to address the gap might be identified:

- More self-management of health and wellbeing.
- Development of a workforce strategy for the city which considers: increasing the ‘transferability’ of staff between the partner organisations; widespread up-skilling of staff to embed an asset-based approach to the relationship between professionals and service users; attracting, recruiting and retaining staff to address key shortages (nurses and GPs); improved integration and multi-skilling of the unregistered workforce and opportunities around apprenticeships; workforce planning and expanding the content and use of the citywide Health and Care workforce database.
- Partnerships with university and business sectors to create an environment for solutions to be created and implemented through collaboration across education, innovation and research.
- Maternity services - Key areas requiring development include the increased personalisation of the maternity offer, better continuity of care, increased integration of maternity care with other services within communities, and the further development of choice.
- Children’s services - In a similar way, for children’s services the key area requiring development is that of emotional and mental health support to children and younger people. Key components being the creation of a single point of access; a community based eating disorder service; and primary prevention in children’s centres and schools both through the curriculum and anti-stigma campaigns.

Finance and efficiency gap

3.37 The following gaps have been identified:

- The projected collective financial gap facing the Leeds health and care system (if we did nothing about it) is £723 million by 2021. It reflects the forecast level of pressures facing the four statutory delivery organisations (Leeds City Council, Leeds Teaching Hospitals NHS Trust, Leeds and York Partnership NHS Foundation Trust and Leeds Community Healthcare NHS Trust) in the city and assumes that our three CCGs continue to support financial pressures in other parts of their portfolio whilst meeting NHS business rules. This is driven by inflation, volume demand, lost funding and other local cost pressures.

3.38 The following opportunities were discussed as some of the areas where action to address the gap might be identified:

- Citywide savings will need to be delivered through more effective collaboration on infrastructure and support services. To explore opportunities to turn the ‘demand curve’ on clinical and care pathways through: investment in prevention activities; focusing on the activities that provide the biggest return and in the parts of the city that will have the greatest impact;
maximising the use of community assets; removing duplication and waste in cross-organisation pathways; ensuring that the skill-mix of staff appropriately and efficiently matches need across the whole health and care workforce e.g. nursing across secondary care and social care as well as primary care; and by identifying services which provide fewer outcomes for local people and offer less value to the ‘Leeds £’.

- Capitalise on the regional role of our hospitals using capacity released by delivering our solutions to support the sustainability of services of other hospitals in West Yorkshire and build on being the centre for specialist care for the region.

**Emerging Leeds STP – linking solutions to the gaps**

3.39 The following diagram illustrates how the emerging themes and solutions in the Leeds STP support the identified gaps:

<table>
<thead>
<tr>
<th>Transformation plans</th>
<th>Impact on 3 gaps</th>
</tr>
</thead>
</table>
| Prevention and Proactive Care (Out-of-Hospital Services), including: | Health and Wellbeing: (Solutions 1, 10, 11, 13, 14)
| 1. Increasing investment into universal (proportionate to need) and community led services and targeted prevention services with bespoke enhancements for those with the greatest capacity to benefit (need). | HW1 - Life expectancy for men and women remains significantly worse in Leeds than the national average
| 2. Embedding evidence-based approaches (HoC, health coaching, year of care, digital apps). | HW2 - Cardiovascular disease (CVD) mortality is significantly worse than for England
| 3. Advanced care planning | HW3 - Cancer mortality is significantly worse than the rest of Yorkshire and the Humber
| 4. An intermediate tier focused on recovery and reablement | HW4 - Dementia from cancer are the single largest cause of avoidable PYLL in the city, accounting for 36.3% of all avoidable PYLL.
| 5. Reducing: | HW5 - PYLL from cancer is twice the level in the deprived Leeds quintile than in Leeds non-deprived
| • Outpatient, WIC and A&E attendances in LTHT, LCHT, LPFT | HW6 - Suicides have increased
| • Admissions to hospital and long term care | Care and Quality: (Solutions 2, 3, 4, 7, 8, 9, 12, 13, 14)
| • XBDs | NHS Constitutional KPIs the areas where significant gaps have been identified are:
| • Primary care prescribing | CQ1 - Mental Health (including IAPT)
| 6. Re-commissioning PPG services | CQ2 - Patient Satisfaction
| 7. Rapid response to time of crisis, including: | CQ3 - Quality of Life
| A simple pathway to make it easy for people to get timely help quickly. | CQ4 - A&E and Ambulance Response Times
| A final ‘Older Person’s Assessment function at the front end of the hospital providing rapid access to a senior medical opinion and diagnosis. | CQ5 - Delayed Transfers of Care (DTOC)
| Efficient and Effective Secondary Care, including | CQ6 - Hospital admission rates
| 9. An integrated discharge service in 2016 | CQ7 - Capacity gap created by difficulties in recruiting and retaining GP staff, coupled with a raising demand
| 10. Reducing capacity in LTHT | CQ8 - Difficulties in providing greater access to services in and out of hours
| 11. Reducing NH OATS and LOS on Dementia wards | Finance and Efficiency (by 2020/21): (Solutions 9, 10, 11, 13, 14)
| 12. Increasing capacity for step-up provision | Total: £725m, of which £153.7m is a city-wide, collaborative target.

New Models of Care

14. Will deliver improved health and wellbeing outcomes for populations registered with groups of General Practices, planned and provided through an integrated team of health and social care professionals and voluntary sector cross-cutting current provider organisational boundaries. Work is ongoing between commissioners and providers to scope the merits of different functional and contract models.

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2 This diagram has been slightly updated since it was also included in the Leeds LDR.
Emerging Leeds STP – supporting the Leeds Health and Wellbeing Strategy

3.40 The Leeds STP will have specific themes which will look at what action the health and care system needs to take to help fulfil the priorities identified within the Leeds Health and Wellbeing Strategy. Currently these emerging themes include:

- **Rebalancing the conversation with staff, service users and the public** - which supports the ethos of the refreshed Leeds Health and Wellbeing Strategy and sees citizens and communities as the co-producers of health and wellbeing rather than the passive recipients of services. It also emphasises individuals’ health and well-being through self-esteem, coping strategies, resilience skills, relationships, friendships, knowledge and personal resources. This will also support Leeds Health and Wellbeing Strategy Priority 3 – ‘Strong, engaged and well connected communities’ and Priority 9 ‘Support self-care, with more people managing their own conditions’ - using and building on the assets in communities. We must focus on supporting people to maintain independence and wellbeing within local communities for as long as possible. People need to be more involved in decision making and their own care planning by setting goals, monitoring symptoms and solving problems. To do this, care must be person-centred, coordinated around all of an individual’s needs through networks of care rather than single organisations treating single conditions.

- **Prevention, Proactive Care and Rapid Response to in time of crisis** – which directly relates to the Priority 8 - ‘A stronger focus on prevention’ - the role that people play in delivering the necessary focus on prevention and what action the system needs to take to improve prevention, and Leeds Health and Wellbeing Strategy Priority 12 ‘The best care, in the right place, at the right time’. Services closer to home will be provided by integrated multidisciplinary teams working proactively to reduce unplanned care and avoidable hospital admissions. They will improve coordination for getting people back home after a hospital stay. These teams will be rooted in neighbourhoods and communities, with co-ordination between primary, community, mental health and social care. They will need to ensure care is high quality, accessible, timely and person-centred. Providing care in the most appropriate setting will ensure our health and social care system can cope with surges in demand with effective urgent and emergency care provision.

- **Efficient and Effective Secondary Care** – which also contributes to Leeds Health and Wellbeing Strategy Priority 12 ‘The best care, in the right place, at the right time’. This is ensuring that we have streamlined processes and only admitting those people who need to be admitted. As described above this needs population–based, integrated models of care, sensitive to the needs of local communities. This must be supported by better integration between physical and mental health and care provided in and out of hospital. Where a citizen has to use secondary care we will be putting ourselves in the shoes of the citizen and asking if the STP answers, ‘Can I get effective testing and treatment as efficiently as possible?'

- **Innovation, Education, Research** - which relates to Leeds Health and Wellbeing Strategy Priority 7 – ‘Maximise the benefits from information and
technology’ – how technology can give people more control of their health and care and enable more coordinated working between organisations. We want to make better use of technological innovations in patient care, particularly for long term conditions management. This will support people to more effectively manage their own conditions in ways which suit them. Leeds Health and Wellbeing Strategy Priority 11 – ‘A valued, well-trained and supported workforce’, and priority 5 – ‘A strong economy with quality local jobs’ – through things such as the development of the Leeds Academic Health Partnership and the Leeds Health and Care Skills Academy and better workforce planning ensuring the workforce is the right size and has the right knowledge and skills needed to meet the future demographic challenges.

- Mental health and physical health will be considered in all aspects of the STP within the Leeds STP but also there will be specific focus on Mental Health within the West Yorkshire STP, directly relating to Leeds Health and Wellbeing Strategy Priority 10 – ‘Promote mental and physical health equally’.

3.41 When developing the STP, we will keep the citizen at the forefront and asking the following questions identified in the Leeds Health and Wellbeing Strategy:

- Can I get the right care quickly at times of crisis or emergency?
- Can I live well in my community because the people and places close by enable me to?
- Can I get effective testing and treatment as efficiently as possible?

4 Wider considerations

4.1 Consultation and Engagement

4.1.1 The purpose of this report is to share information about the progress of development of the Leeds STP. A primary guiding source for the Leeds STP has been the refreshed Leeds Health and Wellbeing Strategy which was been widely engaged on through its development.

4.1.2 The final draft of the STP will be presented to statutory health and care partner governing boards in the autumn.

4.1.3 As part of the final STP, there will a clear roadmap for delivery of the service changes over the next 4-5 years. This will also identify how and when engagement, consultation and co-production activities will take place with staff, service users and the wider public around.

4.1.4 In relation to the West Yorkshire STP, this engagement is being planned and managed through the West Yorkshire Healthy Futures Programme Management Office and is currently being finalised.

4.2 Equality and diversity / cohesion and integration

4.2.1 Any future changes in service provision arising from this work will be subject to equality impact assessment.
4.3 **Resources and value for money**

4.3.1 The final Leeds STP will have to describe the financial and sustainability gap in Leeds, the plan Leeds will be undertaking to address this and demonstrate that the proposed changes will ensure that we are operating within our likely resources. In order to make these changes, we will require national support in terms of local flexibility around the setting of targets, financial flows and non-recurrent investment.

4.3.2 As part of the development of the West Yorkshire STP, the financial and sustainability impact of any changes at a West Yorkshire level and the impact on Leeds will need to be carefully considered and analysis is currently underway to delineate this.

4.3.3 It is envisaged that Leeds may be able to capitalise on the regional role of our hospitals using capacity released by delivering our solutions to support the sustainability of services of other hospitals in West Yorkshire and to grow our offer for specialist care for the region.

4.4 **Risk management**

4.4.1 Failure to have robust plans in place to address the gaps identified as part of the STP development will impact the sustainability of the health and care in the city.

4.4.2 Two key overarching risks present themselves, given the scale and proximity of the challenge and the size and complexity of both the West Yorkshire footprint and Leeds itself:

- Potential unintended and negative consequences of any proposals as a result of the complex nature of the local and regional health and social care systems and their interdependencies. Each of the partners has their own internal pressures and governance processes they need to follow.

- Ability to release expenditure from existing commitments without destabilising the system in the short-term will be extremely challenging as well as the risk that any proposals to address the gaps do not deliver the sustainability required over the longer-term.

4.4.3 The challenge also remains to develop a cohesive narrative between technology plans and how they support the STP plans for the city. Leeds already has a defined blueprint for informatics, strong cross organisational leadership and capability working together with the leads of each STP area to ensure a quality LDR is developed and implemented.

4.4.4 Whilst the in Leeds the health and care partnership has undertaken a review of non-statutory governance to ensure it is efficient and effective, the bigger West Yorkshire footprint upon which we have been asked to develop an STP will present much more of a challenge.

4.4.5 The effective management of these risks can only be achieved through the full commitment of all system leaders within the city to focus their full energies on the
developing a robust STP and then delivering the STP within an effective governance framework.

5 Conclusions

5.1 As statutory organisations across the city working with our thriving volunteer and third sectors and academic partners, we have come together to develop, for the first time, a system-wide plan for a sustainable, high-quality health and social care system. We want to ensure that services in Leeds can continue to provide high-quality support that meets, or exceeds, the expectations of adults, children and young people across the city: the patients and carers of today and tomorrow.

5.2 Our Leeds STP will be built on taking our asset-based approach to the next level to help deliver the health and care aspects of the Leeds Health and Wellbeing Strategy. This is enshrined in a set of values and principles and a way of thinking about our city, which:

- Identifies and makes visible the health and care-enhancing assets in a community;
- Sees citizens and communities as the co-producers of health and well-being rather than the passive recipients of services;
- Promotes community networks, relationships and friendships that can provide caring, mutual help and empowerment;
- Values what works well in an area;
- Identifies what has the potential to improve health and well-being the fastest;
- Supports individuals’ health and well-being through self-esteem, coping strategies, resilience skills, relationships, friendships, knowledge and personal resources;
- Empowers communities to control their futures and create tangible resources such as services, funds and buildings;
- Values and empowers the workforce and involves them in the coproduction of any changes.

5.3 The following table summarises, at a high-level, the key changes that we expect to take place over the next five-plus years and which will provide the greatest leverage.
Key solutions to address gaps and create sustainable health & care for the future

<table>
<thead>
<tr>
<th>'Changing the conversation' and working with the public, service users and our workforce.</th>
<th>Investing more in prevention, targeting those areas that will reap the greatest impact.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increasing and integrating our community offer for out-of-hospital health and social care, providing proactive care and rapid response in a time of crisis.</td>
<td>Capitalising on the regional role of our hospitals, using capacity released by delivering our solutions to support the sustainability of services of other hospitals in West Yorkshire.</td>
</tr>
</tbody>
</table>

Supported by...

<table>
<thead>
<tr>
<th>Working with people at every stage of change through clear comms &amp; engagement.</th>
<th>Having a national pioneering integrated digital infrastructure being used by a ‘digitally literate’ workforce.</th>
<th>Creating an encouraging and supportive environment for solutions to be produced and for economic investment through collaboration &amp; partnerships</th>
</tr>
</thead>
<tbody>
<tr>
<td>Using existing estate more effectively, ensuring that it is fit for the purpose, and disposing of surplus estate.</td>
<td>Reviewing our procurement practices and top 100 supplier organisation spend to ensure that we are getting best value in spending for our ‘Leeds £’ and are benefiting from economies of scale</td>
<td>Creating ‘one’ workforce supported by leading and innovative workforce education, training and technology</td>
</tr>
</tbody>
</table>

5.4 Our strategy is based on the following imperatives:

- the four statutory delivery organisations will be efficient and effective within their own ‘boundaries’ by reducing waste and duplication generally
- all partners will collaborate more effectively on infrastructure and support services
- we will turn the ‘demand curve’ through:
  - investment in prevention activities, focusing on those that provide the biggest return and in the parts of the city that will have greatest impact
  - re-balancing the social contract between our citizens and the statutory bodies, transferring some activities currently undertaken by employees in the statutory sector to individuals, and maximising the use of community assets
  - reducing waste and duplication in cross-organisational pathways;
  - ensuring that the skill-mix of staff appropriately and efficiently matches need - movement from specialist to generalist, from qualified professional to assistant practitioner, and from assistant practitioner to care support worker

5.5 There is significant work still to do to develop the Leeds STP to the required level of detail. Colleagues from across the health and social care system will need to commit substantial resource to producing the final draft. Additionally, senior
leaders from Leeds will continue to take a prominent role in shaping the West Yorkshire STP.

5.6 It is important to recognise that the West Yorkshire STP is still in its development and the links between this and the six local STPs are still being developed. Getting the right read-across between plans to ensure a coherent and robust STP at regional level which meets the requirements of national transformation funding needs to be an ongoing process and Leeds will need to be mindful of this whilst developing local action.

5.7 Over the coming months, Leeds will continue to prioritise local ambitions and outcomes through the development of its primary STP as a vehicle for delivering aspects of the Leeds Health and Wellbeing Strategy.

6 Recommendations

6.1 The Health and Wellbeing Board is asked to:

- Consider – does it endorse the approach described within this paper for the continued development of the Leeds and West Yorkshire STPs, within the nationally prescribed framework?
- Note the key areas of focus for the Leeds STP described in this report and how they will contribute to the delivery of the Leeds Health and Wellbeing Strategy;
- Note that the Leeds Health and Wellbeing Board will continue to provide a strategic lead for the Leeds STP;
- Note the key milestones outlined in this paper and the officers from the Leeds health and care partnership who are leading the development of the Leeds STP and the West Yorkshire STP;
- Receive a further report at a future meeting of the Health and Wellbeing Board, with an overview of the proposed key changes and impacts outlined in the Leeds STP and the West Yorkshire STP, as we move forward towards implementation and oversight.

7 Background documents

7.1 N/A

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3 The background documents listed in this section are available to download from the Council’s website, unless they contain confidential or exempt information. The list of background documents does not include published works.
APPENDIX: THE WEST YORKSHIRE STP – UPDATE

Update for Boards August 2016

Health and care partner organisations across the NHS and Local Government in West Yorkshire have been planning together to develop the five year West Yorkshire STP (WYSTP) for four months now. The WYSTP is formed from the six local place-based plans and a set of supporting West Yorkshire programmes.

As the WYSTP (both the local plans and the WY level programmes) develop, updated versions have to be submitted to a group of national bodies including NHS England, NHS Improvement and the Local Government Association. There have been two such checkpoint submissions so far, the most recent was on 30 June 2016.

We will work towards agreeing a final WYSTP during July & August, seeking approval from all our Health & Well Being Boards, CCG Governing Bodies and provider Trust Boards in September/October 2016, once the submission process and timescales are finalised by NHS England. Local Authority partners have also agreed to have a one-off meeting of all Health and Wellbeing Board Chairs and Council Leaders to discuss any collective view on this before final submission.

This is a five year plan and the focus is on providers and commissioners collectively returning a currently unsustainable health and care system to long-term sustainability by 2020/21. Our planning for the WYSTP is therefore emerging as we understand better how we collectively deliver sustainability, and our submissions to date represent checkpoints on how our plan is evolving.

Improving Outcomes

The focus of all planning across the WYSTP is firmly based around improving benefits to and outcomes for our population based on our understanding of:

1. their needs through local and West Yorkshire joint needs assessment and the wider determinants of health, and
2. where there are gaps (variations) in outcomes in peoples’ health & well-being, the quality and care they receive as patients and service users, and the funding available to deliver that care.

Planning across all services and all ‘places’ in the West Yorkshire footprint is complex and will take time to get right so that we target interventions and programmes of transformation where they are needed most (improving outcomes) and have the greatest impact on closing gaps and reducing variation.

Principles

There is clear recognition of the principle of subsidiarity and that planning and transformation should take place at the most appropriate level. The vast majority of transformation to improve outcomes is being delivered at a local level with our populations, communities and the services supporting them, with self-care and providing care wrapped around their homes. This is defined in each of the six local place-based plans, tailored to meet the needs of their local populations.

We are also working to establish a shared and honest analysis of the problems, issues and challenges we face in West Yorkshire and how we do our work once as a system, in order to minimise conflict and the resources we use.
Governance & Engagement

Our success will depend on collectively understanding the WY system and making decisions jointly as a system and at all levels – local CCGs and Health and Well-Being Boards, across provider Boards, across Local Authorities, and as a West Yorkshire Leadership Team (which has representation from all partner organisations).

A significant amount of effort, for example, has been spent on establishing the relationships and governance required by all health partner organisations to augment their current statutory authority and allow them to come together collectively to make recommendations and decisions. This has included developing new ways of working with regulatory bodies and exploring how the system can assure itself collectively that it is working towards reducing the current gaps, and manages risks to sustainability.

The Leadership Team are supported by the Clinical Forum and are now coming together for Leadership Days every month to progress planning and discuss the challenges and possible solutions as a system.

We continue to engage daily with our partners and engagement around the emerging WYSTP will start with our local communities and workforce as priorities and plans are agreed collectively by our Boards.

Our work to date

Year one (2016/17) and planning to date as a system has been about jointly understanding gaps and variations in outcomes, the pressures on services which are making them unsustainable and the contribution that collaborative programmes and local place-based plans can make to close these gaps and improve outcomes. This will provide an agreed foundation from which we can effectively plan and prioritise the transformation required over five years to address these gaps.

There are currently a number of priority West Yorkshire workstreams planning and delivering collaborative programmes of work at a West Yorkshire level. These augment transformation being delivered through local place-based plans, and provide an opportunity to share best practice and deliver transformation at scale to improve outcomes for our population in a way we cannot do locally. These West Yorkshire workstreams include: prevention at scale, cancer, mental health, urgent and emergency care, specialised commissioned services, stroke, primary and community services (focused on sharing local innovation and best practice) and sustainable acute services (with a strong link to mental health, cancer, stroke, and urgent and emergency care).

Key Dates:

2 August 2016: Leadership Day: meeting of the Clinical Forum and Leadership Team

31 August 2016: informal submission of the finance template to regional NHS England Team

6 September: Leadership Day: meeting of the Clinical Forum and Leadership Team – approval key content of the WYSTP and draft communications and engagement strategy

16 September 2016: submission of final finance template / plan to national team within NHS England

September / October 2016: approvals process with all partner Boards across West Yorkshire

October 2016 (date TBC): submission of final West Yorkshire STP.