



Appendix A

Leeds City Council Internal Audit Plan 2022-23

Corporate Governance and Audit Committee

25th March 2022

Audit Area	Overview of Assurance	Assurance Themes	Risks
Adults and Health 142 Days			
Homecare - New Ways of Working	To provide support to the Directorate in the development of the new way of delivering and paying for Homecare	Financial Management, Business Innovation and Development, Project and Programme Management, Value for Money, Safeguarding, Governance and Decision Making, Risk and Resilience, Legislative / Regulatory Compliance	LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy, LCC08 - Safeguarding Adults, LCC29 - Sustainability and Viability of the care market
Budget Review Group	To attend the Budget Review Group meetings to provide support and challenge to the directorate in the achievement of the budget action plans for 2022/23	Financial Management, Risk and Resilience, Legislative / Regulatory Compliance, Value for Money	LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy
Income Recovery Board	To attend Income Recovery Project Board meetings to provide support to the service and ensure that potential risks and control weaknesses are highlighted and considered in the service redesign	Financial Management, Risk and Resilience, Project and Programme Management, Governance and Decision Making, Business Innovation and Development, Consultation and Engagement, Value for Money, Legislative / Regulatory Compliance	LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy
CIS Payments	To provide assurance that payments are only made in relation to people with an assessed need, have been correctly processed and are net of any client contribution, where applicable. The review will also provide assurance on the adequacy of controls for identifying changes in circumstances. The review will cover all payments made through CIS	Financial Management, Business Innovation and Development, Risk and Resilience, Safeguarding, Legislative / Regulatory Compliance	LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy

Audit Area	Overview of Assurance	Assurance Themes	Risks
Telecare	To review the work undertaken by the service in relation to the effectiveness and take up of the service	Safeguarding, Value for Money, Financial Management	LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy, LCC08 - Safeguarding Adults
Deputy & Appointeeships	To provide assurance that the council safeguards the assets of its clients in such a way that complies with statutory regulations and is in their best interests. The review is intended to cover all elements of the process from the initial acceptance of a client to the handling of cash at Area Offices	Safeguarding, Financial Management, Legislative / Regulatory Compliance, Anti-Fraud and Corruption	LCC08 - Safeguarding Adults, LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy
Recovery Service	To review the Recovery Hubs and ensure that there are adequate controls in place in terms of care received, safeguarding and safeguarding client's personal assets	Safeguarding, Financial Management, Legislative / Regulatory Compliance	LCC08 - Safeguarding Adults, LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy
Debt Recovery	To provide assurance that the debt recovery process for Adults & Health income is fit for purpose	Financial Management, Safeguarding, Risk and Resilience, Legislative / Regulatory Compliance	LCC09 - In-year Budget, LCC10 - Medium Term Financial Strategy
Advice	Time allocated within the plan to provide professional advice to officers	Various	Various
Client Liaison	Time allocated to attend Directorate Leadership Team meetings and liaise with senior officers	Various	Various
Children and Families 177 Days			
Safeguarding	To provide assurance that there are appropriate safeguarding arrangements in place within Children's & Families	Safeguarding, Partnerships, Legislative / Regulatory Compliance, Governance and Decision Making	LCC03 - Safeguarding Children, LCC13 - Children and Families Inspections